

# A Method for Designing Modified PID Controllers for Time-delay Plants and Their Application

Kou Yamada<sup>1</sup>, Takaaki Hagiwara<sup>2</sup>, and Yosuke Shimizu<sup>3</sup>, Non-members

## ABSTRACT

In this paper, we examine a method for designing modified proportional-integral-derivative (PID) controllers for use in stable and/or minimum-phase time-delay plants. The PID controller structure is the one used most widely in industrial applications. Recently, the parameterization of all stabilizing PID controllers has been considered. However, no method which guarantees the stability of a PID control system for any stable and/or minimum-phase time-delay plants, and in which the admissible sets of P-, I- and D-parameters are independent from each other, has been published. In this paper, we propose a method for designing modified PID controllers such that the modified PID controller makes the feedback control system for any stable and/or minimum-phase time-delay plant stable and the admissible sets of P-, I- and D-parameters are independent from each other. Numerical examples and application in a heat flow experiment are shown to illustrate the effectiveness of the proposed method.

**Keywords:** PID control, time-delay plant, stability, admissible set, heat flow experiment

## 1. INTRODUCTION

The proportional-integral-derivative (PID) controller is the most widely used controller structure in industrial applications [1–3]. Its structural simplicity and ability to solve many practical control problems have contributed to this wide acceptance.

Several papers on tuning methods for PID parameters have been published [4–14] but these methods do not guarantee the stability of a closed-loop system. Several methods of designing PID controllers to guarantee the stability of closed-loop systems have been proposed [15–18]. However, it is difficult to tune PID parameters to meet control specifications using these methods. If the admissible sets of PID parameters that would guarantee the stability of a closed-loop

system can be determined, we can easily design stabilizing PID controllers to meet control specifications.

Obtaining admissible sets of PID parameters that guarantee the stability of a closed-loop system is a parameterization problem [3, 19, 20]. The parameterization of all stabilizing PID controllers is considered in [3, 19, 20]. However, the difficulty remaining with these methods is that the admissible sets of P-, I- and D-parameters in [3, 19, 20] are related to each other. In other words, if the P-parameter is changed, then the admissible sets of I- and D-parameters also change. From a practical point of view, it is desirable for the admissible sets of P-, I- and D-parameters to be independent from each other. Yamada and Moki first approached this problem and proposed a method for designing modified PI controllers for any minimum-phase system such that the admissible sets of P- and I-parameters were independent from each other [21]. Yamada expanded the results in [21] and proposed a method for designing modified PID controllers for minimum-phase plants such that the admissible sets of P-, I- and D-parameters were independent from each other [22]. For stable plants, a method for designing modified PID controllers was considered in [23, 24]. However, no paper gives a method for designing modified PID controllers for any stable and/or minimum-phase time-delay plant such that the stability of the control system is guaranteed and the admissible sets of P-, I- and D-parameters are independent.

In this paper, we expand the results in [21–24] and propose a method for designing modified PID controllers such that the controller makes the feedback control system stable for any stable and/or minimum-phase time-delay plant and the admissible sets of P-, I- and D-parameters are independent. This paper is organized as follows: In Section 2., the problem considered in this paper is clarified. In Section 3., we describe the basic concepts of designing modified PID controllers such that the controller makes the feedback control system stable for any stable and/or minimum-phase time-delay plant and the admissible sets of P-, I- and D-parameters are independent. In Section 4. and Section 5., we propose methods of designing modified PID controllers for use in stable plants and minimum-phase plants, respectively. In Section 6., a simple numerical example is shown. In Section 7., an application in a heat flow experiment is

Manuscript received on July 2, 2007 ; revised on November 6, 2007.

<sup>1,2,3</sup> The authors are with department of Mechanical System Engineering, Gunma University 1-5-1 Tenjincho, Kiryu 376-8515 Japan, E-mail: yamada@me.gunma-u.ac.jp, m06m430@gs.eng.gunma-u.ac.jp and a3m040@ug.eng.gunma-u.ac.jp

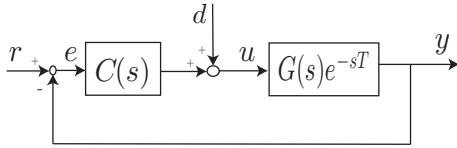
shown to illustrate the effectiveness of the proposed method.

#### Notation

$R$	The set of real numbers.
$R(s)$	The set of real rational functions with $s$ .
$RH_\infty$	The set of stable proper real rational functions.
$\mathcal{U}$	The set of unimodular functions on $RH_\infty$ . That is, $U(s) \in \mathcal{U}$ implies both $U(s) \in RH_\infty$ and $U^{-1}(s) \in RH_\infty$ .

## 2. PROBLEM FORMULATION

Consider the feedback control system in Fig. 1. Here,  $G(s)e^{-sT}$  is the single-input/single-output time-delay plant,  $G(s) \in R(s)$  is assumed to be strictly proper and have no zero on the origin,  $T > 0$  is the time delay,  $C(s)$  is the controller,  $r \in R$  is the reference input,  $u \in R$  is the control input,  $y \in R$  is the output,  $d \in R$  is disturbance and  $e \in R$  is the error.



**Fig.1:** Feedback control system.

When the controller  $C(s)$  can be written as

$$C(s) = a_P + \frac{a_I}{s} + a_D s, \quad (1)$$

the controller  $C(s)$  is a PID controller [1–3, 19, 20], where  $a_P \in R$  is the P-parameter,  $a_I \in R$  is the I-parameter and  $a_D \in R$  is the D-parameter.  $a_P$ ,  $a_I$  and  $a_D$  are set so that the feedback control system in Fig. 1 has desirable characteristics such as steady state control and transient control. For simplicity, we call  $C(s)$  in (1) the conventional PID controller. The transfer function from  $r$  to  $y$  in Fig. 1 is written as

$$y = \frac{G(s) \left( a_P + \frac{a_I}{s} + a_D s \right) e^{-sT}}{1 + G(s) \left( a_P + \frac{a_I}{s} + a_D s \right) e^{-sT}} r. \quad (2)$$

It is obvious that when  $a_P$ ,  $a_I$  and  $a_D$  are set at random, the stability of the feedback control system in Fig. 1 is not guaranteed. If a stabilizing PID controller  $C(s)$  in (1) for  $G(s)$  exists, we can use the results in [3, 19, 20] to design a PID controller  $C(s)$  in (1) to stabilize the feedback control system in Fig. 1. In other words, the admissible sets of  $a_P$ ,  $a_I$  and  $a_D$  able to stabilize the feedback control system in Fig. 1 can be obtained using results from [3, 19, 20]. According to these authors, the admissible sets of  $a_P$ ,  $a_I$  and  $a_D$  are related to each other i.e. if  $a_P$  is changed then the admissible sets of  $a_I$  and  $a_D$  also change.

However, from a practical point of view, it is desirable for the admissible sets of  $a_P$ ,  $a_I$  and  $a_D$  to be independent. Furthermore, the transfer function in (2) generally has an infinite number of poles. When the transfer functions from  $r$  to  $y$  and from  $d$  to  $y$  have an infinite number of poles, it is difficult to obtain desirable input–output, disturbance attenuation and other such control characteristics.

The purpose of this paper is to propose a method for designing modified PID controllers  $C(s)$  in Fig. 1, with the following characteristics.

1. The stability of the feedback control system in Fig. 1 is guaranteed.
2. The transfer functions from  $r$  to  $y$  and from  $d$  to  $y$  in Fig. 1 have a finite number of poles.
3. The admissible sets of P-parameters  $a_P$ , I-parameters  $a_I$  and D-parameters  $a_D$  that guarantee stability of the feedback control system in Fig. 1 are independent.
4. The roles of P-parameters  $a_P$ , I-parameters  $a_I$  and D-parameters  $a_D$  in the modified PID controller are equivalent to those of the conventional PID controller in (1).

## 3. BASIC CONCEPTS

In this section, we describe the basic concept of designing modified PID controllers  $C(s)$  with the characteristics described in Section 2.

In order to design modified PID controllers  $C(s)$  that can be applied to any stable and/or minimum-phase time-delay plants and ensure the transfer functions from  $r$  to  $y$  and  $d$  to  $y$  have a finite number of poles, we adopted the parameterization of all stabilizing modified Smith predictors for any stable and/or minimum-phase time-delay plant in [25]. The reason for not adopting the Smith predictor, which is an effective time-delay compensator for a time-delay plant, in [26] but adopting the parameterization of all stabilizing modified Smith predictors in [25] is that the Smith predictor in [26] cannot be applied to unstable time-delay plants but the parameterization of all stabilizing modified Smith predictors in [25] can be applied to any stable and/or minimum-phase time-delay plant. The basic concept of designing modified PID controllers  $C(s)$  with the characteristics described in Section 2., using the results in [25], is summarized as follows.

1. When  $G(s)$  is stable.

According to [25], the parameterization of all stabilizing modified Smith predictors for stable time-delay plants is written as

$$C(s) = \frac{Q(s)}{1 - Q(s)G(s)e^{-sT}}, \quad (3)$$

where  $Q(s) \in RH_\infty$  is any function. Using the controller in (3), the transfer functions from  $r$  to  $y$  and  $d$  to  $y$  in Fig. 1 are written as

$$y = Q(s)G(s)e^{-sT}r \quad (4)$$

and

$$y = (1 - Q(s)G(s)e^{-sT})G(s)e^{-sT}d, \quad (5)$$

respectively. Assuming that  $Q(s) \in RH_\infty$ , the transfer functions in (4) and (5) have a finite number of poles. In addition, it is obvious that the stability of the feedback control system in Fig. 1 is guaranteed. In order to design modified PID controllers with the characteristics described in Section 2., the free parameter  $Q(s)$  in (3) is set so that  $C(s)$  in (3) has the same characteristics as the conventional PID controller  $C(s)$  in (1).

Thus, we now describe the role of the conventional PID controller  $C(s)$  in (1), in order to clarify the conditions that the modified PID controller  $C(s)$  must satisfy. The P-parameters  $a_P$ , I-parameters  $a_I$  and D-parameters  $a_D$  are determined according to

$$a_P = \lim_{s \rightarrow \infty} \left\{ -s^2 \frac{d}{ds} \left( \frac{1}{s} C(s) \right) \right\}, \quad (6)$$

$$a_I = \lim_{s \rightarrow 0} \{sC(s)\} \quad (7)$$

and

$$a_D = \lim_{s \rightarrow \infty} \frac{d}{ds} \{C(s)\}, \quad (8)$$

respectively, from (1), using  $C(s)$ . Therefore, if the controller  $C(s)$  in (3) holds (6), (7) and (8), the role of controller  $C(s)$  in (3) is equivalent to that of the conventional PID controller  $C(s)$  in (1). In other words, we can design a stabilizing modified PID controller such that the role of controller  $C(s)$  in (3) is equivalent to that of the conventional PID controller  $C(s)$  in (1).

## 2. When $G(s)$ is of minimum-phase.

According to [25], the parameterization of all stabilizing modified Smith predictors for minimum-phase time-delay plants is written as

$$C(s) = \frac{C_f(s)}{1 - C_f(s)G(s)e^{-sT}}, \quad (9)$$

$$C_f(s) = \frac{\bar{G}_u(s)}{G_u(s)} \left( 1 + \frac{Q(s)}{G_u(s)} \right), \quad (10)$$

where  $Q(s) \in RH_\infty$  is any function,  $\bar{G}_u(s) \in \mathcal{U}$  satisfies

$$\bar{G}_u(s_i) = \frac{1}{G_s(s_i)e^{-s_iT}} \quad (i = 1, \dots, n), \quad (11)$$

$n$  is the number of unstable poles of  $G(s)$ ,  $s_i (i = 1, \dots, n)$  are the unstable poles of  $G(s)$ ,  $G_s(s)$  is a stable minimum-phase function of  $G(s)$ , i.e. when  $G(s)$  is factorized as

$$G(s) = G_u(s)G_s(s), \quad (12)$$

$G_u(s)$  is the unstable biproper minimum-phase function and  $G_s(s)$  is the stable minimum-phase function. Using the controller in (9), the transfer functions from  $r$  to  $y$  and  $d$  to  $y$  are written as

$$y = \bar{G}_u(s) \left( 1 + \frac{Q(s)}{G_u(s)} \right) G_s(s)e^{-sT}r \quad (13)$$

and

$$y = \left\{ 1 - \bar{G}_u(s) \left( 1 + \frac{Q(s)}{G_u(s)} \right) \cdot G_s(s)e^{-sT} \right\} G(s)e^{-sT}d, \quad (14)$$

respectively. From  $Q(s) \in RH_\infty$ ,  $\bar{G}_u(s) \in \mathcal{U}$ ,  $G_s(s) \in RH_\infty$  and  $1/G_u(s) \in RH_\infty$ , the transfer functions in (13) and (14) have finite numbers of poles. In addition, it is obvious that the stability of the feedback control system in Fig. 1 is guaranteed. If the controller  $C(s)$  in (9) holds (6), (7) and (8), the role of controller  $C(s)$  in (3) is equivalent to that of the conventional PID controller  $C(s)$  in (1). In other words, we can design a stabilizing modified PID controller such that the role of controller  $C(s)$  in (9) is equivalent to that of the conventional PID controller  $C(s)$  in (1).

In Section 4. and Section 5., we use the ideas discussed above to describe a method for designing the modified PID controller  $C(s)$  in (3) or (9) that works as a modified PID controller. In the following, we call  $C(s)$

1. the modified P controller if  $C(s)$  in (3) or (9) satisfies (6),
2. the modified I controller if  $C(s)$  in (3) or (9) satisfies (7),
3. the modified D controller if  $C(s)$  in (3) or (9) satisfies (8),
4. the modified PI controller if  $C(s)$  in (3) or (9) satisfies (6) and (7),
5. the modified PD controller if  $C(s)$  in (3) or (9) satisfies (6) and (8),
6. the modified PID controller if  $C(s)$  in (3) or (9) satisfies (6), (7) and (8).

## 4. MODIFIED PID CONTROLLER, WHEN $G(s)$ IS STABLE

In this section, we describe a method for designing the modified PID controller  $C(s)$  in (3) that works as a modified PID controller.

### 4.1 Modified P controller

In this subsection, we discuss a method for designing a modified P controller  $C(s)$  in (3) that holds (6), makes the feedback control system in Fig. 1 stable and is applicable to any stable time-delay plant  $G(s)e^{-sT}$ .

The modified P controller  $C(s)$  satisfying (6) is written as (3), where

$$Q(s) = a_P. \quad (15)$$

Since  $Q(s)$  in (15) is included in  $RH_\infty$ , the controller  $C(s)$  in (3) with (15) makes the feedback control system in Fig. 1 stable for any stable time-delay plant  $G(s)e^{-sT}$  independent from  $a_P$ .

#### 4.2 Modified I controller

In this subsection, we discuss a method for designing a modified I controller  $C(s)$  in (3) that holds (7), makes the feedback control system in Fig. 1 stable and is applicable to any stable time-delay plant  $G(s)e^{-sT}$ .

The modified I controller  $C(s)$  satisfying (7) is written as (3), where

$$Q(s) = \frac{q_0 + q_1 s}{\tau_0 + \tau_1 s}, \quad (16)$$

$$q_0 = \frac{\tau_0}{G(0)}, \quad (17)$$

$$q_1 = \frac{\tau_1}{G(0)} - \frac{\tau_0}{G^2(0)} \left( \frac{1}{a_I} - TG(0) + \frac{d}{ds} \{G(s)\} \Big|_{s=0} \right) \quad (18)$$

and  $\tau_i \in R > 0 (i = 0, 1)$ . For  $\tau_i > 0 (i = 0, 1)$ ,  $Q(s)$  in (15) is included in  $RH_\infty$ . This implies that the controller  $C(s)$  in (3) with (16) makes the feedback control system in Fig. 1 stable for any stable time-delay plant  $G(s)e^{-sT}$  independent from  $a_I$ .

#### 4.3 Modified D controller

In this subsection, we discuss a method for designing a modified D controller  $C(s)$  in (3) that holds (8), makes the feedback control system in Fig. 1 stable and is applicable to any stable time-delay plant  $G(s)e^{-sT}$ .

The modified D controller  $C(s)$  satisfying (8) is written as (3), where

$$Q(s) = a_D s. \quad (19)$$

Since  $Q(s)$  in (19) is improper,  $Q(s)$  in (19) is not included in  $RH_\infty$ . In order for  $Q(s)$  to be included in  $RH_\infty$ , (19) must be modified according to

$$Q(s) = \frac{a_D s}{1 + \tau_D s}, \quad (20)$$

where  $\tau_D \in R > 0$ . For  $\tau_D > 0$  in (20),  $Q(s)$  in (20) is included in  $RH_\infty$ . This implies that the controller  $C(s)$  in (3) with (20) makes the feedback control system in Fig. 1 stable for any stable time-delay plant  $G(s)e^{-sT}$  independent from  $a_D$ .

#### 4.4 Modified PI controller

In this subsection, we discuss a method for designing a modified PI controller  $C(s)$  in (3) that holds (6) and (7), makes the feedback control system in Fig. 1 stable and is applicable to any stable time-delay plant  $G(s)e^{-sT}$ .

The modified PI controller  $C(s)$  satisfying (6) and (7) is written as (3), where

$$Q(s) = \frac{q_0 + q_1 s + q_2 s^2}{\tau_0 + \tau_1 s + \tau_2 s^2}, \quad (21)$$

$$q_0 = \frac{\tau_0}{G(0)}, \quad (22)$$

$$q_1 = \frac{\tau_1}{G(0)} - \frac{\tau_0}{G^2(0)} \left\{ \frac{1}{a_I} - TG(0) + \frac{d}{ds} \{G(s)\} \Big|_{s=0} \right\}, \quad (23)$$

$$q_2 = \tau_2 a_P \quad (24)$$

and  $\tau_i \in R > 0 (i = 0, 1, 2)$ . For  $\tau_i > 0 (i = 0, 1, 2)$ ,  $Q(s)$  in (21) is included in  $RH_\infty$ . This implies that the controller  $C(s)$  in (3) with (21) makes the feedback control system in Fig. 1 stable for any stable time-delay plant  $G(s)e^{-sT}$  independent from  $a_P$  and  $a_I$ .

#### 4.5 Modified PD controller

In this subsection, we discuss a method for designing a modified PD controller  $C(s)$  in (3) that holds (6) and (8), makes the feedback control system in Fig. 1 stable and is applicable to any stable time-delay plant  $G(s)e^{-sT}$ .

The modified PD controller  $C(s)$  satisfying (6) and (8) is written as (3), where

$$Q(s) = a_P + a_D s. \quad (25)$$

Since  $Q(s)$  in (25) is improper,  $Q(s)$  in (25) is not included in  $RH_\infty$ . In order for  $Q(s)$  to be included in  $RH_\infty$ , (25) must be modified according to

$$Q(s) = a_P + \frac{a_D s}{1 + \tau_D s}, \quad (26)$$

where  $\tau_D \in R > 0$ . For  $\tau_D > 0$  in (26),  $Q(s)$  in (26) is included in  $RH_\infty$ . This implies that the controller  $C(s)$  in (3) with (26) makes the feedback control system in Fig. 1 stable for any stable time-delay plant  $G(s)e^{-sT}$  independent from  $a_P$  and  $a_D$ .

#### 4.6 Modified PID controller

In this subsection, we discuss a method for designing a modified PID controller  $C(s)$  in (3) that holds (6), (7) and (8), makes the feedback control system in Fig. 1 stable and is applicable to any stable time-delay plant  $G(s)e^{-sT}$ .

The modified PID controller  $C(s)$  satisfying (6), (7) and (8) is written as (3), where

$$Q(s) = \frac{q_0 + q_1s + q_2s^2}{\tau_0 + \tau_1s + \tau_2s^2} + q_3s, \quad (27)$$

$$q_0 = \frac{\tau_0}{G(0)}, \quad (28)$$

$$q_1 = \frac{\tau_1}{G(0)} - q_3\tau_0 - \frac{\tau_0}{G^2(0)} \left\{ \frac{1}{a_I} - TG(0) + \frac{d}{ds}(G(s)) \Big|_{s=0} \right\}, \quad (29)$$

$$q_2 = \tau_2a_P, \quad (30)$$

$$q_3 = a_D \quad (31)$$

and  $\tau_i \in R > 0 (i = 0, 1, 2)$ . Since  $Q(s)$  in (27) is improper,  $Q(s)$  in (27) is not included in  $RH_\infty$ . In order for  $Q(s)$  to be included in  $RH_\infty$ , (27) must be modified according to

$$Q(s) = \frac{q_0 + q_1s + q_2s^2}{\tau_0 + \tau_1s + \tau_2s^2} + \frac{q_3s}{1 + \tau_Ds}, \quad (32)$$

where  $\tau_D \in R > 0$ . For  $\tau_i > 0 (i = 0, 1, 2)$  and  $\tau_D > 0$  in (32),  $Q(s)$  in (32) is included in  $RH_\infty$ . This implies that the controller  $C(s)$  in (3) with (32) makes the feedback control system in Fig. 1 stable for any stable time-delay plant  $G(s)e^{-sT}$  independent from  $a_P$ ,  $a_I$  and  $a_D$ .

### 5. MODIFIED PID CONTROLLER, WHEN $G(S)$ IS OF MINIMUM-PHASE

In this section, we describe a method for designing the modified PID controller  $C(s)$  in (9) that works as a modified PID controller.

#### 5.1 Modified P controller

In this subsection, we discuss a method for designing a modified P controller  $C(s)$  in (9) that holds (6), makes the feedback control system in Fig. 1 stable and is applicable to any minimum-phase time-delay plant  $G(s)e^{-sT}$ .

The modified P controller  $C(s)$  satisfying (6) is written as (9), where

$$Q(s) = \lim_{s \rightarrow \infty} \left( \frac{G_u^2(s)}{G_u(s)} a_P - G_u(s) \right) = \text{const.} \quad (33)$$

Since  $Q(s)$  in (33) is included in  $RH_\infty$ , the controller  $C(s)$  in (9) with (33) makes the feedback control system in Fig. 1 stable for any minimum-phase time-delay plant  $G(s)e^{-sT}$  independent from  $a_P$ .

#### 5.2 Modified I controller

In this subsection, we discuss a method for designing a modified I controller  $C(s)$  in (9) that holds (7), makes the feedback control system in Fig. 1 stable and is applicable to any minimum-phase time-delay plant  $G(s)e^{-sT}$ .

The modified I controller  $C(s)$  satisfying (7) is written as (9), where

$$Q(s) = \frac{q_0 + q_1s}{\tau_0 + \tau_1s}, \quad (34)$$

$$q_0 = \tau_0 \left( \frac{G_u(0)}{\bar{G}_u(0)G_s(0)} - G_u(0) \right), \quad (35)$$

$$\begin{aligned} q_1 &= \left( \frac{2\tau_0}{\bar{G}_u(0)G_s(0)} - \tau_0 \right) \frac{d}{ds}(G_u(s)) \Big|_{s=0} \\ &\quad - \frac{\tau_0 G_u(0) \frac{d}{ds}(\bar{G}_u(s)) \Big|_{s=0}}{\bar{G}_u^2(0)G_s(0)} - \frac{\tau_0 \frac{d}{ds}(G(s)) \Big|_{s=0}}{\bar{G}_u(0)G_s^2(0)} \\ &\quad - \left\{ \tau_1 + \frac{\tau_0(\tau_1 - T)}{\bar{G}_u(0)G_s(0)} \right\} G_u(0) - \frac{\tau_0}{a_I \bar{G}_u(0)G_s^2(0)} \end{aligned} \quad (36)$$

and  $\tau_i \in R > 0 (i = 0, 1)$ . For  $\tau_i > 0 (i = 0, 1)$ ,  $Q(s)$  in (34) is included in  $RH_\infty$ . This implies that the controller  $C(s)$  in (9) with (34) makes the feedback control system in Fig. 1 stable for any minimum-phase time-delay plant  $G(s)e^{-sT}$  independent from  $a_I$ .

#### 5.3 Modified D controller

In this subsection, we discuss a method for designing a modified D controller  $C(s)$  in (9) that holds (8), makes the feedback control system in Fig. 1 stable and is applicable to any minimum-phase time-delay plant  $G(s)e^{-sT}$ .

The modified D controller  $C(s)$  satisfying (8) is written as (9), where

$$Q(s) = q_0s \quad (37)$$

and

$$q_0 = a_D \lim_{s \rightarrow \infty} \left( \frac{G_u^2(s)}{G_u(s)} \right). \quad (38)$$

Since  $Q(s)$  in (37) is improper,  $Q(s)$  in (37) is not included in  $RH_\infty$ . In order for  $Q(s)$  to be included in  $RH_\infty$ , (37) must be modified according to

$$Q(s) = \frac{a_Ds}{1 + \tau_Ds}, \quad (39)$$

where  $\tau_D \in R > 0$ . For  $\tau_D > 0$  in (39),  $Q(s)$  in (39) is included in  $RH_\infty$ . This implies that the controller  $C(s)$  in (9) with (39) makes the feedback control system in Fig. 1 stable for any minimum-phase time-delay plant  $G(s)e^{-sT}$  independent from  $a_D$ .

#### 5.4 Modified PI controller

In this subsection, we discuss a method for designing a modified PI controller  $C(s)$  in (9) that holds (6) and (7), makes the feedback control system in Fig. 1 stable and is applicable to any minimum-phase time-delay plant  $G(s)e^{-sT}$ .

The modified PI controller  $C(s)$  satisfying (6) and (7) is written as (9), where

$$Q(s) = \frac{q_0 + q_1 s + q_2 s^2}{\tau_0 + \tau_1 s + \tau_2 s^2}, \quad (40)$$

$$q_0 = \tau_0 \left( \frac{G_u(0)}{\bar{G}_u(0)G_s(0)} - G_u(0) \right), \quad (41)$$

$$q_1 = \left( \frac{2\tau_0}{\bar{G}_u(0)G_s(0)} - \tau_0 \right) \frac{d}{ds} (G_u(s)) \Big|_{s=0} - \frac{\tau_0 G_u(0) \frac{d}{ds} (\bar{G}_u(s)) \Big|_{s=0}}{\bar{G}_u^2(0)G_s(0)} - \frac{\tau_0 \frac{d}{ds} (G(s)) \Big|_{s=0}}{\bar{G}_u(0)G_s^2(0)} - \left\{ \tau_1 + \frac{\tau_0(\tau_1 - T)}{\bar{G}_u(0)G_s(0)} \right\} G_u(0) - \frac{\tau_0}{a_I \bar{G}_u(0)G_s^2(0)}, \quad (42)$$

$$q_2 = \tau_2 \lim_{s \rightarrow \infty} \left( \frac{G_u^2(s)}{\bar{G}_u(s)} a_P - G_u(s) \right) \quad (43)$$

and  $\tau_i \in R > 0 (i = 0, 1, 2)$ . For  $\tau_i > 0 (i = 0, 1, 2)$ ,  $Q(s)$  in (40) is included in  $RH_\infty$ . This implies that the controller  $C(s)$  in (9) with (40) makes the feedback control system in Fig. 1 stable for any minimum-phase time-delay plant  $G(s)e^{-sT}$  independent from  $a_P$  and  $a_I$ .

#### 5.5 Modified PD controller

In this subsection, we discuss a method for designing a modified PD controller  $C(s)$  in (9) that holds (6) and (8), makes the feedback control system in Fig. 1 stable and is applicable to any minimum-phase time-delay plant  $G(s)e^{-sT}$ .

The modified PD controller  $C(s)$  satisfying (6) and (8) is written as (9), where

$$Q(s) = q_0 + q_1 s, \quad (44)$$

$$q_0 = \lim_{s \rightarrow \infty} \left[ \frac{G_u^2(s)}{\bar{G}_u(s)} a_P - G_u(s) + \frac{a_D s^2 G_u(s)}{\bar{G}_u(s)} \left\{ \frac{G_u(s) \frac{d}{ds} (\bar{G}_u(s))}{\bar{G}_u(s)} - 2 \frac{d}{ds} (G_u(s)) \right\} \right] \quad (45)$$

and

$$q_1 = a_D \lim_{s \rightarrow \infty} \left( \frac{G_u^2(s)}{\bar{G}_u(s)} \right). \quad (46)$$

Since  $Q(s)$  in (44) is improper,  $Q(s)$  in (44) is not included in  $RH_\infty$ . In order for  $Q(s)$  to be included in  $RH_\infty$ , (44) must be modified according to

$$Q(s) = q_0 + \frac{q_1 s}{1 + \tau_D s}, \quad (47)$$

where  $\tau_D \in R > 0$ . For  $\tau_D > 0$  in (47),  $Q(s)$  in (47) is included in  $RH_\infty$ . This implies that the controller  $C(s)$  in (9) with (47) makes the feedback control system in Fig. 1 stable for any minimum-phase time-delay plant  $G(s)e^{-sT}$  independent from  $a_P$  and  $a_D$ .

#### 5.6 Modified PID controller

In this subsection, we discuss a method for designing a modified PID controller  $C(s)$  in (9) that holds (6), (7) and (8), makes the feedback control system in Fig. 1 stable and is applicable to any minimum-phase time-delay plant  $G(s)e^{-sT}$ .

The modified PID controller  $C(s)$  satisfying (6), (7) and (8) is written as (9), where

$$Q(s) = \frac{q_0 + q_1 s + q_2 s^2}{\tau_0 + \tau_1 s + \tau_2 s^2} + q_3 s, \quad (48)$$

$$q_0 = \tau_0 \left( \frac{G_u(0)}{\bar{G}_u(0)G_s(0)} - G_u(0) \right), \quad (49)$$

$$q_1 = \left( \frac{2\tau_0}{\bar{G}_u(0)G_s(0)} - \tau_0 \right) \frac{d}{ds} (G_u(s)) \Big|_{s=0} - \frac{\tau_0 G_u(0) \frac{d}{ds} (\bar{G}_u(s)) \Big|_{s=0}}{\bar{G}_u^2(0)G_s(0)} - \frac{\tau_0 \frac{d}{ds} (G(s)) \Big|_{s=0}}{\bar{G}_u(0)G_s^2(0)} - \left\{ \left( \tau_1 + \frac{\tau_0(\tau_1 - T)}{\bar{G}_u(0)G_s(0)} \right) G_u(0) - \frac{\tau_0}{a_I \bar{G}_u(0)G_s^2(0)} \right\} + a_D \lim_{s \rightarrow \infty} \left( \frac{G_u^2(s)}{\bar{G}_u(s)} \right), \quad (50)$$

$$q_2 = \lim_{s \rightarrow \infty} \left[ \frac{G_u^2(s)}{\bar{G}_u(s)} a_P - G_u(s) + \frac{a_D s^2 G_u(s)}{\bar{G}_u(s)} \left\{ \frac{G_u(s)}{\bar{G}_u(s)} \frac{d}{ds} (\bar{G}_u(s)) - 2 \frac{d}{ds} (G_u(s)) \right\} \right], \quad (51)$$

$$q_3 = a_D \lim_{s \rightarrow \infty} \left( \frac{G_u^2(s)}{\bar{G}_u(s)} \right) \quad (52)$$

and  $\tau_i \in R > 0 (i = 0, 1, 2)$ . Since  $Q(s)$  in (48) is improper,  $Q(s)$  in (48) is not included in  $RH_\infty$ . In order for  $Q(s)$  to be included in  $RH_\infty$ , (48) must be modified according to

$$Q(s) = \frac{q_0 + q_1 s + q_2 s^2}{\tau_0 + \tau_1 s + \tau_2 s^2} + \frac{q_3 s}{1 + \tau_D s}, \quad (53)$$

where  $\tau_D \in R > 0$ . For  $\tau_i > 0 (i = 0, 1, 2)$  and  $\tau_D > 0$  in (53),  $Q(s)$  in (53) is included in  $RH_\infty$ . This implies that the controller  $C(s)$  in (9) with (53) makes the feedback control system in Fig. 1 stable for any minimum-phase time-delay plant  $G(s)e^{-sT}$  independent from  $a_P$ ,  $a_I$  and  $a_D$ .

## 6. NUMERICAL EXAMPLE

In this section, we use a numerical example to show the effectiveness of the proposed method.

Consider the problem of designing a modified PID controller  $C(s)$  for an unstable minimum-phase time-delay plant  $G(s)e^{-sT}$  written as

$$G(s)e^{-sT} = \frac{100}{s^3 - 0.1s^2 - 0.7s - 0.2} e^{-0.15s}, \quad (54)$$

where  $T = 0.15[\text{sec}]$  and

$$G(s) = \frac{100}{s^3 - 0.1s^2 - 0.7s - 0.2}. \quad (55)$$

Note that  $G(s)e^{-sT}$  in (54) has no stabilizing conventional PID controller.

Since  $G(s)$  in (55) is unstable and of minimum-phase, we design a modified PID controller using the method described in Section 5.6.  $a_P$ ,  $a_I$  and  $a_D$  are settled by

$$\begin{cases} a_P = 55 \\ a_I = 0.0002 \\ a_D = 0.15 \end{cases}. \quad (56)$$

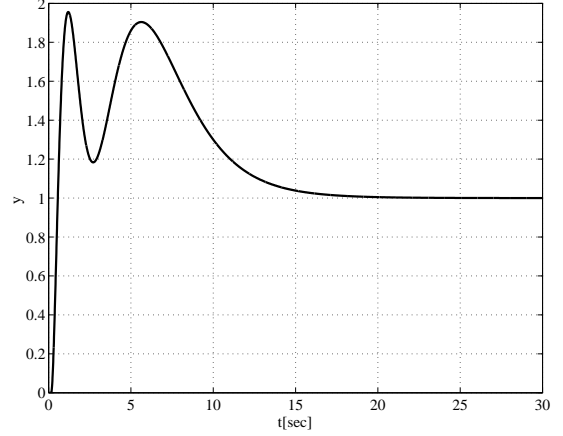
$q_0$ ,  $q_1$ ,  $q_2$  and  $q_3$  are determined by (49), (50), (51) and (52), respectively, where

$$\begin{cases} \tau_0 = 1 \\ \tau_1 = 2 \\ \tau_2 = 1 \end{cases} \quad (57)$$

and  $\tau_D = 1$ .

Using the parameters mentioned above, the modified PID controller  $C(s)$  is designed according to (9) and (53).

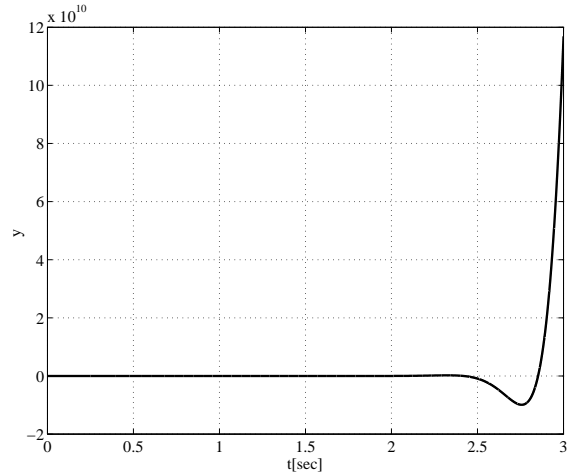
The step response of the control system using the modified PID controller  $C(s)$  is shown in Fig. 2. Figure 2 shows that the modified PID controller  $C(s)$



**Fig.2:** Step response of the control system using a modified PID controller.

makes the feedback control system stable.

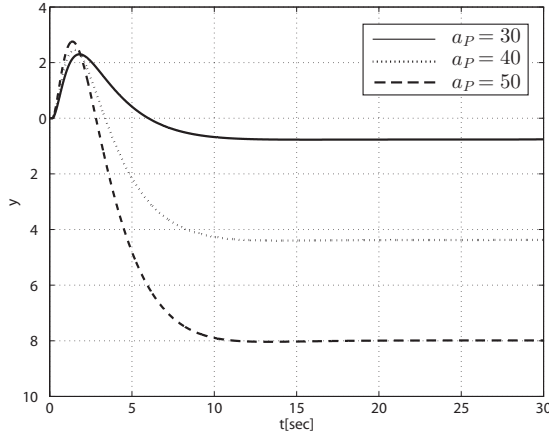
On the other hand, using a conventional PID controller with (56), the step response of the control system is shown in Fig. 3. Figure 3 shows that the



**Fig.3:** Step response of the control system using a conventional PID controller.

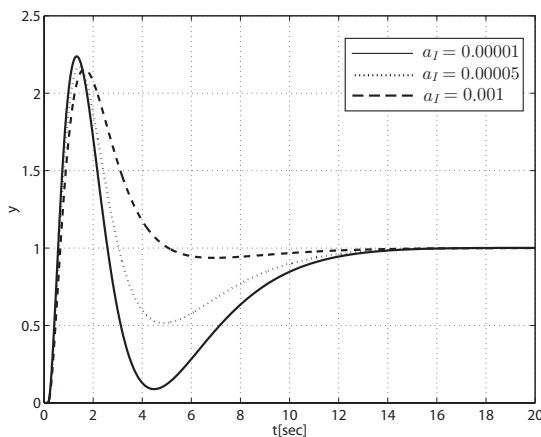
conventional PID control system is unstable. This is obvious, since no stabilizing conventional PID controller for the plant  $G(s)e^{-sT}$  in (54) exists. Contrary to this, the stability of the modified PID control system is guaranteed to be independent from  $a_P$ ,  $a_I$  and  $a_D$ . Therefore, we find that the modified PID controller can be applied to plants that have no stabilizing conventional PID controller.

When  $a_P$ ,  $a_I$  and  $a_D$  in the modified PID controller are varied, the step responses can be compared. First, the step responses for various  $a_P$ , with  $a_P = 30$ ,  $a_P = 40$  and  $a_P = 50$ , are shown in Fig. 4. Here, the solid line, dotted line and broken line



**Fig.4:** Step response using modified P controller with  $a_P = 30, 40, 50$ .

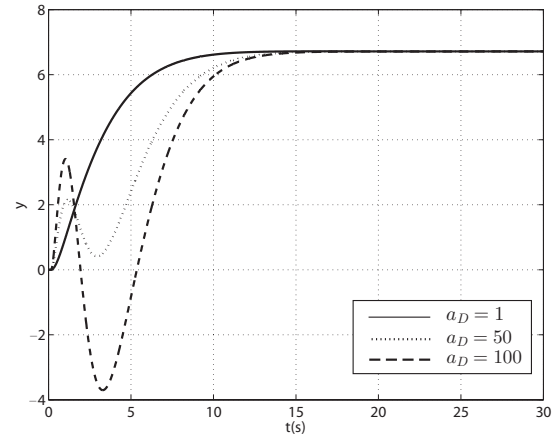
show the step responses of the modified PID control system using  $a_P = 30$ ,  $a_P = 40$  and  $a_P = 50$ , respectively. Figure 4 shows that as the value of  $a_P$  increased, the overshoot became larger and the rise time became shorter. Since these characteristics are equivalent to those of the conventional PID controller, the role of the P-parameter  $a_P$  in the modified PID controller is equivalent to that in a conventional PID controller. Secondly, the step responses for various  $a_I$ , with  $a_I = 0.00001$ ,  $a_I = 0.00005$  and  $a_I = 0.0001$ , are shown in Fig. 5. Here, the solid line,



**Fig.5:** Step response using modified I controller with  $a_I = 0.00001, 0.00005, 0.0001$ .

dotted line and broken line show the step responses of the modified PID control system using  $a_I = 0.00001$ ,  $a_I = 0.00005$  and  $a_I = 0.0001$ , respectively. Fig-

ure 5 shows that as the value of  $a_I$  increased, the overshoot became smaller and convergence became more rapid. Since these characteristics are equivalent to those of the conventional PID controller, the role of the I-parameter  $a_I$  in the modified PID controller is equivalent to that in a conventional PID controller. Thirdly, the step responses for various  $a_D$ , with  $a_D = 1$ ,  $a_D = 50$  and  $a_D = 100$ , are shown in Fig. 6. Here, the solid line, dotted line and broken



**Fig.6:** Step response using modified D controller with  $a_D = 1, 50, 100$ .

line show the step responses of the modified PID control system using  $a_D = 1$ ,  $a_D = 50$  and  $a_D = 100$ , respectively. Figure 6 shows that as the value of  $a_D$  increased, the response was smoothed. Since this characteristic is equivalent to that of the conventional PID controller, the role of the D-parameter  $a_D$  in the modified PID controller is equivalent to that in a conventional PID controller.

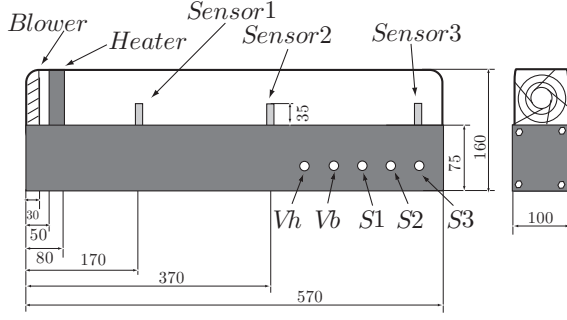
Thus, we have shown that we can easily design a stabilizing modified PID controller for an unstable minimum-phase time-delay plant, with the same characteristics as a conventional PID controller, and guarantee the stability of the feedback control system.

## 7. APPLICATION IN A HEAT FLOW EXPERIMENT

In this section, we show an application of the proposed modified PID controller in a heat flow experiment, to illustrate its effectiveness. The heat flow apparatus is shown in Fig. 7. The heat flow apparatus comprises a duct equipped with a heater and blower at one end and three temperature sensors located along the duct, as shown in Fig. 7.  $V_h$  and  $V_b$  denote the voltage to the heater and blower, respectively.  $S_1, S_2$  and  $S_3$  are terminals for measurement of temperatures at Sensor 1, Sensor 2 and Sensor 3. We denote temperature measurements at Sensor  $i$  as  $T_i$ .

The problem considered in this section is to design





**Fig. 7:** Apparatus used in the heat flow experiment.

a modified PID controller to make  $T_3$ , the temperature at Sensor 3, remain steady at 30[deg]. When we set  $V_b = 5[V]$ , we found that the transfer function from  $V_h$  to  $T_3$  could be written as

$$T_3 = \frac{5.30}{1 + 18.15s} e^{-1.25s} V_h. \quad (58)$$

$T_3$  and  $V_h$  are considered the output  $y$  and control input  $u$ , respectively, in the control system in Fig. 1. From (58),  $G(s)e^{-sT}$  in Fig. 1 can be written as

$$G(s)e^{-sT} = \frac{5.30}{1 + 18.15s} e^{-1.25s}, \quad (59)$$

where  $T = 1.25[\text{sec}]$  and

$$G(s) = \frac{5.30}{1 + 18.15s}. \quad (60)$$

The reference input  $r$  in Fig. 1 is set so that  $r(t) = 30[\text{deg}]$ .

Since  $G(s)$  in (60) is stable, we designed a modified PID controller using the method described in Section 4.  $a_P$ ,  $a_I$  and  $a_D$  are set according to

$$\begin{cases} a_P = 4.000 \\ a_I = 0.140 \\ a_D = 0.005 \end{cases}. \quad (61)$$

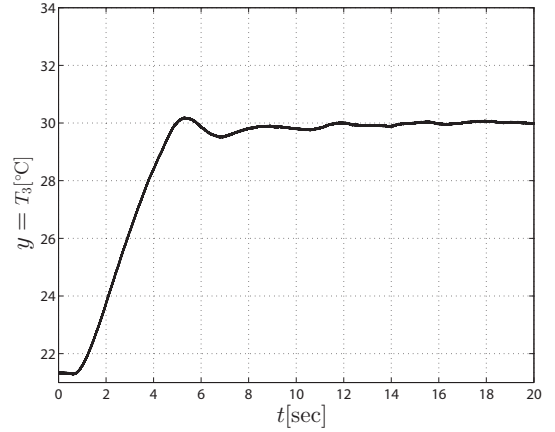
$q_0$ ,  $q_1$ ,  $q_2$  and  $q_3$  are determined by (28), (29), (30) and (31), respectively, where

$$\begin{cases} \tau_0 = 1 \\ \tau_1 = 2 \\ \tau_2 = 1 \end{cases} \quad (62)$$

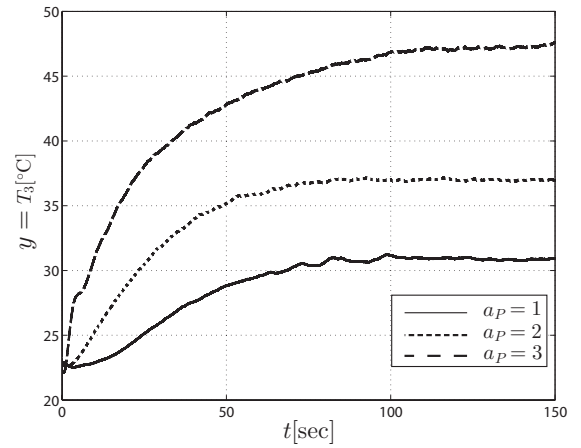
and  $\tau_D = 1$ . Using the parameters given above, the modified PID controller  $C(s)$  was designed according to (3) and (32).

The experimental step response of the control system using this modified PID controller  $C(s)$  is shown in Fig. 8. Figure 8 shows that the output  $y = T_3$  followed the step reference input  $r = 30$  with negligible steady-state error and high convergence speed, compared to open-loop response.

When  $a_P$ ,  $a_I$  and  $a_D$  in the modified PID controller are varied, the step responses can be examined. First, the step responses for various  $a_P$ , with

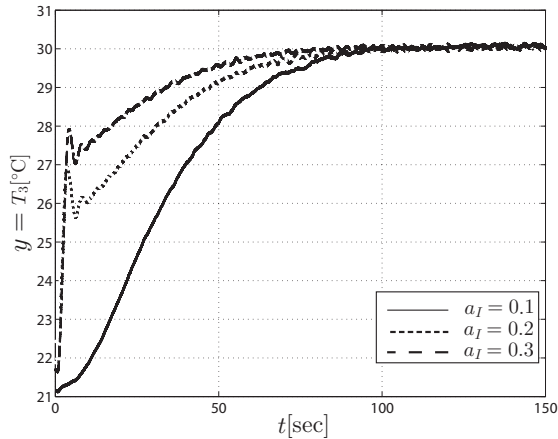


**Fig. 8:** Experimental step response using the modified PID controller.



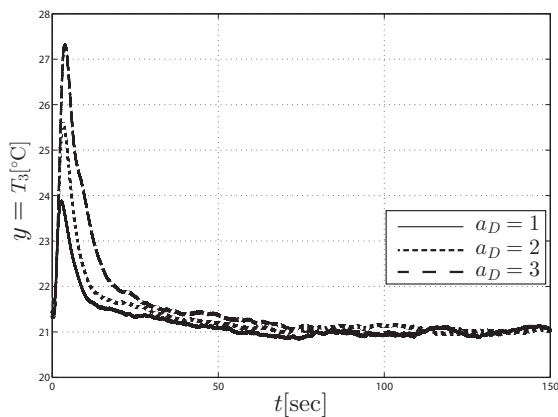
**Fig. 9:** Step response using modified P controller with  $a_P = 1, 2, 3$ .

$a_P = 1$ ,  $a_P = 2$  and  $a_P = 3$ , are shown in Fig. 9. Here, the solid line, dotted line and broken line show the step response of the modified PID control system using  $a_P = 1$ ,  $a_P = 2$  and  $a_P = 3$ , respectively. Figure 9 shows that as the value of  $a_P$  increased, the overshoot became larger and the rise time became shorter. Since those characteristics are equivalent to those of the conventional PID controller, the role of the P-parameter  $a_P$  in the modified PID controller is equivalent to that in the conventional PID controller. Secondly, the step responses for various  $a_I$ , with  $a_I = 0.1$ ,  $a_I = 0.2$  and  $a_I = 0.3$ , are shown in Fig. 10. Here, the solid line, dotted line and broken line show the step response of the modified PID control system using  $a_I = 0.1$ ,  $a_I = 0.2$  and  $a_I = 0.3$ , respectively. Figure 10 shows that as the value of  $a_I$  increased, convergence became more rapid. Since this characteristic is equivalent to that of the conventional PID controller, the role of the I-parameter  $a_I$  in the modified PID controller is equivalent to that in the conventional PID controller. Thirdly, the step



**Fig.10:** Step response using modified I controller with  $a_I = 0.1, 0.2, 0.3$ .

responses for various  $a_D$ , with  $a_D = 1$ ,  $a_D = 2$  and  $a_D = 3$ , are shown in Fig. 11. Here, the solid line,



**Fig.11:** Step response using modified D controller with  $a_D = 1, 2, 3$

dotted line and broken line show the step response of the modified PID control system using  $a_D = 1$ ,  $a_D = 2$  and  $a_D = 3$ , respectively. Figure 11 shows that as the value of  $a_D$  increased, the response was smoothed. Since this characteristic is equivalent to that of the conventional PID controller, the role of the D-parameter  $a_D$  in the modified PID controller is equivalent to that in the conventional PID controller.

On the basis of these results, we found that the proposed modified PID controller provided effective control in this heat flow experiment.

## 8. CONCLUSIONS

In this paper, we proposed a method for designing modified PID controllers such that the modified PID controller makes the feedback control system for any stable and/or minimum-phase time-delay plants asymptotically stable and the admissible sets of P-, I- and D-parameters are independent from each other.

A numerical example and application in a heat flow experiment were also shown to illustrate the effectiveness of the proposed method.

## References

- [1] N. Suda, PID Control, Asakura Shoten, Tokyo, 1992 (in Japanese).
- [2] K. Astrom and T. Hagglund, PID controllers: Theory design, and tuning, Instrument Society of America, North Carolina, 1995.
- [3] A. Datta, M. T. Ho and S. P. Bhattacharyya, Structure and Synthesis of PID Controllers, Springer-Verlag, London, 2000.
- [4] J.G. Ziegler and N.B. Nichols, Optimum settings for automatic controllers, *Trans. ASME*, 64, pp.759–768, 1942.
- [5] P. Hazebroek and B.L. van der Warden, The Optimal Adjustment of Regulators, *Trans. ASME*, 72, pp.317–332, 1950.
- [6] P. Hazebroek and B.L. van der Warden, Theoretical Considerations on the Optimal Adjustment of Regulators, *Trans. ASME*, 72, pp.309–315, 1950.
- [7] W.A. Wolf, Controller Setting for Optimum Control, *Trans. ASME*, 73, pp.413–418, 1951.
- [8] K.L. Chien, J.A. Hrones and J.B. Reswick, On the Automatic Control of Generalized Passive Systems, *Trans. ASME*, 74, pp.175–185, 1952.
- [9] G.H. Cohen and G.A. Coon, Theoretical Consideration of Retarded Control, *Trans. ASME*, 75, pp.857–834, 1953.
- [10] A.M. Lopez, J.A. Miller, C.L. Smith and P.W. Murrill, Tuning Controllers with Error-Integral Criteria, *Instrumentation Technology*, 14, pp.52–62, 1967.
- [11] J.A. Miller, A.M. Lopez, C.L. Smith and P.W. Murrill, A Comparison of Controller Tuning Techniques, *Control Engineering*, 14, pp.72–75, 1967.
- [12] T. Kitamori, A Method of Control System Design Based upon Partial Knowledge About Controlled process, *Transactions of the Society of Instrument and Control Engineers*, 15-4, pp.549–555, 1979 (in Japanese).
- [13] T. Kitamori, Design Method for PID Control Systems, *Journal of the Society of the Instrument and Control Engineers*, 19-4, pp.382–391, 1980 (in Japanese).
- [14] P. Cominos and N. Munro, PID Controllers: Recent Tuning Methods and Design to Specification, *IEE Proceedings*, 149, pp.46–53, 2002.
- [15] F. Zheng, Q.G. Wang and T.H. Lee, On the Design of Multivariable PID Controllers via LMI Approach, *Automatica*, 38-3, pp.517–526, 2002.
- [16] C. Lin, Q.G. Wang and T.H. Lee, An Improvement on Multivariable PID Controller Design via Iterative LMI Approach, *Automatica*, 40-3, pp.519–525, 2004.

- [17] N. Viorel, M. Constantin, A. Dorel and C. Emil, Aspects of Pole Placement Technique in Symmetrical Optimum Method for PID Controller Design, *Preprints of the 16th IFAC World Congress DVD-ROM*, 2005.
- [18] K. Tamura and K. Shimizu, Eigenvalue Assignment Method by PID Control for MIMO System, *Transactions of The Institute of Systems, Control and Information Engineers*, 19-5, pp.193–202, 2006 (in Japanese).
- [19] J. Yang, Parameter Plane Control Design for a Two-tank Chemical Reactor System, *Journal of the Franklin Institute*, Vol.331B, pp.61–76, 1994.
- [20] M. T. Ho, A. Datta and S. P. Bhattacharyya, “A linear programming characterization of all stabilizing PID controllers”, *Proceedings of the American Control Conference 1997*, pp.3922–3928, 1997.
- [21] K. Yamada and T. Moki, “A design method for PI control for minimum phase systems”, *Intelligent Engineering Systems Through Artificial Neural Networks*, Vol.13, pp.571–576, 2003.
- [22] K. Yamada, “Modified PID controllers for minimum phase systems and their practical application”, *Proceedings of The 2005 Electrical Engineering/Electronics, Computer, Telecommunication, and Information Technology (ECTI) International Conference*, Vol.II, 2005.
- [23] K. Yamada, N. Matsushima and T. Hagiwara, “A design method for modified PID controllers for stable plants”, *Proceedings of the 2006 Electrical Engineering/Electronics, Computer, Telecommunication, and Information Technology (ECTI) International Conference*, Vol.I of II, pp.123–126, Ubon Ratchathani, Thailand, 2006.
- [24] K. Yamada, N. Matsushima and T. Hagiwara, “A design method for modified PID controllers for stable plants”, *ECTI Transactions on Electrical Eng., Electronics, and Communications*, Vol.5-1, pp.31–40, 2007.
- [25] K. Yamada and N. Matsushima, “A design method for Smith predictors for minimum-phase time-delay plants”, *ECTI Transactions on Computer and Information Technology*, Vol.2-2, pp.100–107, 2005.
- [26] O.J.M. Smith, “A controller to overcome dead-time”, *ISA Journal*, Vol. 6, pp. 28–33, 1959.
- [27] M. Morari and E. Zafiriou, Robust process control, PTR Prentice Hall, New Jersey, 1989.
- [28] M. Vidyasagar, Control system synthesis—A factorization approach—, MIT Press, London, 1985.
- [29] H. Kimura, “Is the Model a Good Controller? Perspectives on Brain Motor Control”, *Proceedings of CDC*, 2000.



**Kou Yamada** was born in Akita, Japan, in 1964. He received B.S. and M.S. degrees from Yamagata University, Yamagata, Japan, in 1987 and 1989, respectively, and the Dr. Eng. degree from Osaka University, Osaka, Japan in 1997. From 1991 to 2000, he was with the Department of Electrical and Information Engineering, Yamagata University, Yamagata, Japan, as a research associate. Since 2000, he has been an associate professor in the Department of Mechanical System Engineering, Gunma University, Gunma, Japan. His research interests include robust control, repetitive control, process control, and control theory for inverse systems and infinite-dimensional systems. Dr. Yamada received the 2005 Yokoyama Award in Science and Technology and the 2005 Electrical Engineering/Electronics, Computer, Telecommunication, and Information Technology International Conference (ECTI-CON2005) Best Paper Award.



**Takaaki Hagiwara** was born in Gunma, Japan, in 1982. He received a B.S. degree in Mechanical Systems Engineering from Gunma University, Gunma, Japan, in 2006. He is a current M.S. candidate in Mechanical Systems Engineering at Gunma University. His research interests include process control and PID control.



**Yosuke Shimizu** was born in Gunma, Japan, in 1979. He received a B.S. degree in Mechanical Systems Engineering from Gunma University, Gunma, Japan, in 2007. His research interests include process control and PID control.