# Network and Embedded Applications of Automatic Speech Recognition

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# ABSTRACT

ASR (Automatic Speech Recognition) is one of key technologies in the upcoming Ubiquitous Computing and Ambient Intelligence. In this paper, first, the surveys on processing devices such as microprocessors and memories, and on communication infrastructure, especially wireless communication infrastructure relating to ASR are reported. Second, the embedded version of CSR (Continuous Speech Recognition) software for the mobile environmental use of ASR is reported.

As the devices, RISC based microprocessors, semiconductor memories, and HDD are summarized. For the communication infrastructure, mobile communications and wireless LANs are described. Finally, implementation results of the free CSR software called Julius on the T-engineTM consisting of an SH-4A microprocessor are reported.

**Keywords**: Ubiquitous Computing, Ambient Intelligence, Automatic Speech Recognition (ASR), Continuous Speech Recognition (CSR), Julius: Free CSR Software, Embedded Julius, T-Engine, SuperH Microprocessor

#### 1. INTRODUCTION

The Ubiquitous Computing was named and proposed by Mark Weiser[1]. Its concept contains "Anytime, Anywhere, Anybody," but now the philosophy expands to "this time, this place, this person." Recently, the Ambient Intelligence has been announced by Philips showing the Philipss R&D concept[2]. These two concepts indicate the same IT (Information Technology) world. Both need small devices, fast communication networks, RFID(Radio Frequency IDentification), and sophisticated human interfaces and terminals.

For Ubiquitous Computing and Ambient Intelligence, media processing technologies including speech

are necessary to provide sophisticated human inter-

Concerning ASR, the CSR (Continuous Speech Recognition) software has been available on PCs (Personal Computers) which have huge computing resources, both computing power and memories. Our goal is to develop embedded CSR software which runs on small computing power and with small memory to extend ASR to mobile environmental use. We envision mobile application environments such as car navigation systems and cellular phones where an embedded speech recognizer[3] is running on connecting to remote servers via communication networks.

In this paper, the surveys on processing devices such as microprocessors and memories, and the surveys on communication infrastructure, especially wireless communication infrastructure are reported. These technologies are key factors to make Ubiquitous Computing and Ambient Intelligence possible. Finally, the embedded version of CSR (Continuous Speech Recognition) software is reported. We called this embedded CSR software the embedded version of Julius[4].

# 2. UBIQUITOUS COMPUTING AND AM-BIENT INTELLIGENCE ENVIRONMENT

# 2.1 System Image for Networks and Terminals

As information technologies expand into the mobile environments to provide ubiquitous communication, an intelligent interface will be a key element to enable mobile access to networked information. For mobile information access, HMIs (Human Machine Interfaces) using speech might be the most important and essential because speech interfaces are more effective for small and portable devices. Mobile terminals such as cellular phones, PDAs (Personal Digital Assistants) and Hand-held PCs are already connected to networks such as internet to access information on web servers. Especially, Car Telematics refers to a new service concept where mobile terminals (e.g. car navigation systems, cellular phones) are used to connect to the information servers via communication networks[5].

As the speech processing environments, there are

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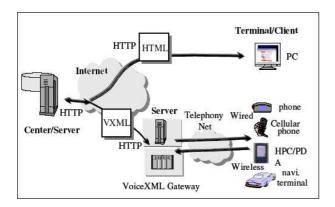


Fig.1: System Image (Terminal, Network, and Center)

hardware devices such as CPU and memory which speech media processing including speech recognition and speech synthesis runs on, and communication infrastructure to connect to application servers. Figure 1 shows a system image consisting of terminal/client, internet, and center/server. The processing devices are hardware-related devices such as PCs, microprocessors, and memories. The communication infrastructure includes wired and wireless environments.

#### 2.2 Hardware Needs for Media Processing

Figure 2 summarizes hardware needs for mobile terminals such as HPC (Hand-held PC) and PDA. In the case of multi-language speech translation, CPU over 2 GIPS (Giga Instruction per Second) and memory size over 100MByte will be needed.

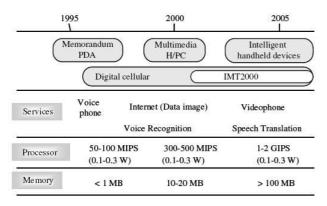


Fig.2: Hardware Needs for HPC/PDA Applications

## 2.3 Implementation Varieties of ASR

Figure 3 shows implementation varieties of Automatic Speech Recognition (ASR) according to speech applications. Depending on the processing power and cost, there are three types of structures. The first one is chip and middleware on microprocessors. The second one is PCs and software implementation, and

third one is a CSS (Client and Server System) structure.

To summarize, around 500MIPS CPU power and 50MByte memory size have been currently available one microprocessor and these hardware environments will make it possible to implement continuous speech recognition software on a microprocessor.

	application			CPU power	Memory	peripherals cost/price
	vocabulary	exa	ample	er e power	(Byte)	peripherus
1	* 1 Word/Small		dialing vi. terminal	~100MIPS	500kB	Chip, Middleware 1∼5k¥
2	Word/Middle	lle 'public terminal (ticket, ATM etc.) 250MIPS ~5MB		В		
3	Sentence/ Middle		nic secretary lling etc.)	500MIPS	~50ME	PC (+Audio) PC 50k~500k3
4	Sentence/ Large	•dictati •speech	on n translation	n 1000MIPS~ 50MB~		CSS (Client & Server) 500k¥~
DSP application General-purposed microprocessor  Graphics  Mu  Graphics  Mu			Application		CSS Server: PC+ Board + software  ASR Server AD,DA  TEL  TEL	

<sup>\*1</sup> small: ~100 words middle: 100 words ~2000 words large: 2000 words~

Fig.3: Implementation Varieties of ASR

#### 3. DEVICE ENVIRONMENT

# 3.1 Microprocessor MPU

Due to improvements in microprocessor performance, various media processing technologies such as MPEG Codec and speech processing are possible to realize by software implementation. This technical trend depends not only on device progress but also on algorithm progress and progress of developmental/tool environments.

Figure 4 shows microprocessor product trend after the first product of 4004 was released. We watch on the microprocessors for digital consumer product use.

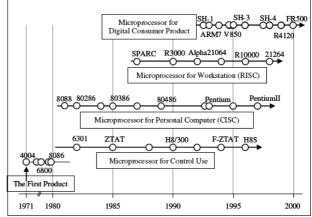


Fig.4: Microprocessor Product Trend

Figure 5 shows a road map for microprocessor, especially SuperH series. The SuperH microprocessors are products of Renesas Tech. Corp. and Hitachi

Ltd. and there are 3 types depending on applications. The first type is for mobile communication using control MPU such as SH2. The second one is for HPC/cellular phone applications using SH3 series which are characterized by low power MPU. The third type is for multimedia applications using high performance MPU, SH4 series.

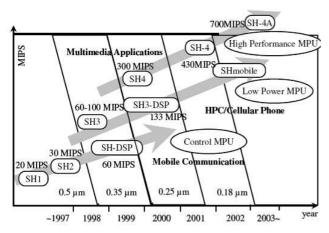


Fig.5: Road Map for Microprocessors (SuperH Series)

#### 3.2 Memory

Two types of memories are surveyed, e.g. the semi-conductor memory such as DRAM (Dynamic Random Access Memory) and flash memory, and HDD (Hard Disc Drive). Currently, DRAM memory size becomes over 8GByte because of the process improvement to less than 100nm (0.1  $\mu$ m). For the terminal use, flash memory will be used widely from now on.

For the car navigation systems, currently 2 or 3 GByte HDD of 2.5 inch size has been used. Recently, smaller size HDD such as around 1 inch with 4GByte has been available for music players/terminals.

# 3.3 Device related OS

T-engine<sup>TM</sup>[6] has been available as the hardware platform to the network consumer terminals at the Ubiquitous Information Era. T-engine runs using TRON OS (Operating System).  $\mu$ iTron is used widely at the car navigation terminals because of its real time processing ability.

#### 4. COMMUNICATION INFRA

#### 4.1 Wireless Communication Infrastructure

In this wireless communication area, R&D competitions are active and the carriers activities become aggressive.

#### (1) Mobile Wireless

Figure 6 shows a cellular phone trend from the 1st

generation to the 3rd generation. The 3rd generation is called IMT2000 also and this development has been organized according to the ITU (International Telecommunication Union) recommendations/guidelines. The ITU recommends international roaming and realization of 2Mbps communication speed/throughput.

The data throughput of the 2nd generation (PDC etc.) is 9.6kbps (upload) and 9.6kbps or 27.8kbps (download), and that of the 3rd generation such as FOMA (W-CDMA) is 64kbps (upload) and over 200kbps (download).

1st Generation	2nd G	2.5th G	3rd G
analog	digital	high quality digital	fast & BB digital
		speech/data comm. enhanced	moving image
	PDC(Japan) GSM (Eu&USA)		W-CDMA NTTDoCoMo 384kbps
			CDMA2000 1x KDDI 144kbps

Fig.6: Cellular (Mobile/Portable) Phone Trend

# (2) High Speed Wireless LAN

Figure 7 shows the relationship among the standards of IEEE802.11a, 11b, 11g. The standard of 802.11b (max 11Mbps) and 11g (max 54Mbps) use 2.4GHz communication band and 802.11a (max 54Mbps) uses 5GHz band. Recently, products supporting 11g have been available.

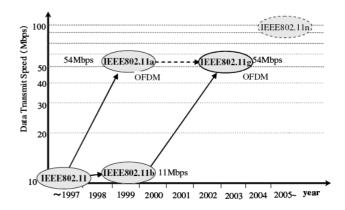


Fig.7: Wireless Communication Technologies

The 11a and 11g use OFDM (Orthogonal Frequency Division Multiplexing) as a modulation and coding method. OFDM technologies show significant quality at the single cells environments such as the surfacewave digital and wireless LAN. The development of MC-CDMA systems is going for the multi-cells and multi-users environments. The technical problems of

OFDM are high consuming power and multi-path error effects.

# 4.2 Speech Processing relating to Wireless Comm.

Distributed Speech Recognition (DSR) conducted by the AURORA project of The ETSI (European Telecommunications Standard Institute) has been proposed because of speech processing technologies for the 3rd generation communication infra. Speech analysis is done in terminals and the speech parameters are sent to centers to recognize the speech input (decoder).

# 5. EMBEDDED VERSION OF JULIUS

# 5.1 Free/Open CSR Software JULIUS

Julius is free and open CSR software which has been developed by Japanese Universities and delivered by WEB[7]. Julius can recognize large vocabulary over 20,000 words and running on Personal Computers (PCs) which have huge computing resources.

# 5.2 T-Engine with SuperH Microprocessor

T-Engine is a developmental hardware platform which has network security architecture and common Operating System (OS) called eTROM. The T-Engine board consists of a CPU board, an LCD board, and a debugging board. Figure 8 shows a photo of T-Engine and Table 1 shows T-Engine (MS7751RC01) specifications. We used Hitachis SuperH microprocessor called SH-4 which has 240 MHz/430MIPS CPU power on T-Engine.



Fig.8: T-Engine Board

The SH-4 is a RISC processor which has 32bit floating point calculation, and cache access commands. The work memory has 64MBytes, but only 55MBytes can be used for embedded software implementation. To implement Julius software on T-Engine, hardware modification was done for 16kHz sampling frequency and analog noise reduction.

# 5.3 Embedded CSR Implementation

Julius is free/open CSR software[7] which can recognize large vocabulary over 20,000 words, and running on PCs which have huge computing resources.

Table 2 shows specifications of the embedded version of Julius implemented on T-Engine. Two conditions were checked to realize a real time processing. The first condition was a monophone type for acoustic models, and the second condition was a triphone type. For the language model, both conditions had bigram and trigram. The word accuracy rates on PCs were 86.05% and 90.655,000-word vocabulary size, respectively. Pre-evaluation for implementation of this Julius PC software on T-Engine showed far beyond the real time processing. Especially the initialization of acoustic models and program calling process needed over 10 minutes for 5,000-word vocabulary recognition.

**Table 1:** T-Engine Specifications

CPU	SuperH SH-4 (240MHz/430MIPS)
Flash Memory	8 MByte
Work Memory	64 MByte
OS	T-Kernel
Input/Output	USB(Host), PCMCIA card, Serial,
I/F	Headphone output, Microphone
	Input, LCD I/F, Extended buss
	I/F, etc.
LCD board	TFT color monitor 240×320
Size	120 mm×75 mm

Table 2: Embedded Julius Specifications

Condition 1	Condition 2
(CND1)	(CND2)
5,000	←
Monophone	Triphone
	(PTM)
bigram	←
trigram	
400	←
86.05%	90.65%
	5,000 Monophone bigram trigram 400

# 5.4 Implemental Issues

To realize the embedded version of Julius on T-Engine, the developmental issues are summarized as follows;

# (1) CPU computing burden reduction:

The CPU power of T-Engine is restricted. Currently, the normal CPU power of PCs has been over 1.0GHz, however the T-Engine CPU power is around 200MHz.

Therefore, huge CPU burden reductions are necessary to realize real time processing on T-Engine.

#### (2) Memory burden reduction:

Especially, limitation of memory capacity on T-Engine is a fatal issue comparing to PCs which have over 1G Bytes memory size. Usually, 100M Bytes is a maximum memory size on embedded board environments. Therefore, huge memory reductions are needed for embedded use.

#### 5.5 Preliminary Computing Process Reduction

#### (1)Compact Acoustic Models

The Julius is using an HTK format. The HTK format for acoustic models is Ascii type resulting that the model memory size is 12MByte. The binary encoding from Ascii encoding of acoustic models could lead huge memory size reduction from 12MByte to 3MByte.

# (2) Addlog Table Memory Reduction

The addlog calculation was done before recognition process using a logarithm table. By this table memory assignment modification, huge memory reduction was done from 2MByte to 2kByte and no recognition accuracy distortion occurred.

# (3)MFCC Calculation Speed-up

Speech parameter extraction of MFCC (Mel Frequency Cepstrum Coefficient) is reduced using cos. and sin. tables.

#### 5.6 GMS Process Reduction

#### (1)GMS Calculation Burden

The GMS (Gaussian Mixture Selection) is a method to select Gaussian distributions by the HMM states using a hierarchical relationships between monophone models and triphone models[9]. This GMS method is introduced to reduce acoustic likelihood calculation, however the more process reduction is needed because the GMS calculation is almost half of the total process time.

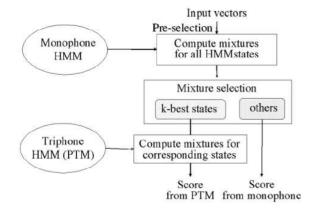


Fig.9: GMS (Gaussian Mixture Selection)

Figure 9 shows a GMS procedure. For each frame of input vectors, Gaussian mixtures for all monophone HMM states are computed and then Gaussian mixtures of triphone models are calculated for the only k-best states of monophone HMM models.

# (2) Modifications on GMS

We made two modifications on the GMS method as follows:

# (i)Computational Reduction on Pre-selection

The pruning process of a speech recognition decoder is done by the hypotheses that if scores are below a beam threshold, the calculations in pruned HMM states are not necessary. The only HMM states in active nodes need to be calculated.

In the conventional GMS, the scores of all monophone HMM states are calculated in a pre-selection stage. Knowing information of active nodes at the pre-selection stage, the only monophone HMM states linked to the active nodes can be calculated. Figure 10 shows an image of the modified strategy. Filled circles are designated

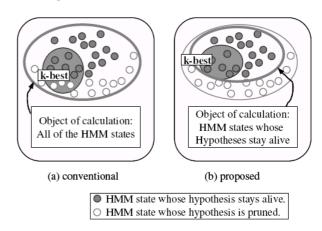


Fig. 10: Modification on Mixture Selection Stage

HMM states whose hypotheses stay alive, and unfilled circles are designated HMM states whose hypotheses are pruned. Figure 10(a) shows the conventional GMS. The pdf scores of all states are calculated and k-best states from among them are selected (meshed area). Possibly some states of k-best states have no active hypothesis. It is useless to calculate a pdf score of pruned hypothesis. Figure 10(b) shows the monophone models for the proposed and modified GMS. The target of pdf calculation is restricted to HMM states whose hypotheses stay alive (within a bold circle). Applying the modification, the computational cost is reduced for the mixture selection of the GMS compared to the conventional GMS. Furthermore, since there is no fear of selecting the useless states whose hypothesis is pruned, a small number of k-best could be specified without any degradation of recognition accuracy.

# (ii) Gaussian Selection within HMM State

The Gaussian Selection (GS)[10] is based on the idea that Score calculated by a Gaussian neighboring an input vector is dominant on score of HMM state. On the other hand, all Gaussians within HMM state are calculated in the original GMS, even though scores derived from Gaussians distant from input vectors are negligible.

For reducing calculations of scores on HMM states, we change calculation strategy: calculating only neighbor Gaussians of input vector, instead of calculating all Gaussians.

Figure 11 shows details of our strategy. The gmax is Gaussian maximum mixture score in an HMM state of monophone HMM. It is plausible idea that neighbor Gaussians of input vector in HMM state of triphone HMM are close to  $g_{max}$ . Based on this idea, only neighbors of  $g_{max}$  are calculated on HMM state, others, which are far from  $g_{max}$ , are omitted to calculate. By this procedure computation cost is much more reduced.

Distances between Gaussians can be calculated and stored in hash tables in advance.

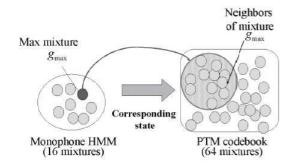


Fig.11: GS (Gaussian Selection) in HMM state

# 6. EVALUATION EXMERIMENTS

# 6.1 Experimental Setup

Table 3 shows experimental conditions for Julius software. The vocabulary size was 5,000 words and the triphone models had 3,000 states and 64 mixtures. The monophone models had 129 states and 16 mixtures.

Table 3: Experimental setup for Julius

vocabulary size	5,000 words
Triphone (PTM)	3,000 states, 64 mixtures
Monophone	129 states, 16 mixtures

#### 6.2 Evaluation Results

# (1) Preliminary Computing Process Reduction

There is no approximated process in this preliminary computing process reduction. This means no recognition at distortion occurs by this process reduction. However, the distortion by the board noise may occur, so we tested T-Engine performance evaluation first. For the T-Engine performance evaluation, we used line input from PC file speech to avoid utterance varieties and environmental noise varieties.

In details, the following procedures are used for the T-Engine board evaluation. First, the input speech

is input to T-Engine from PC using a line input, and then the speech input is stored to the flash memory attached to T-Engine. This speech file is incorporated by the T-Engine internal noise. Utterances by 30 males and 30 female were used for the evaluation. Table 4 shows board evaluation results. In the table, the original shows results of file speech input meaning digitized data by PC. This means recognition results of original are the top recognition rates. Two conditions, monophone and triphone are set in the evaluation. The recognition rates of the condition 2 with triphone were 89.1% for original and 85.9% for T-Engine showing 5% recognition accuracy distortion by the T-Engine board.

Table 4:Evaluation Results(1): word accuracy(ACC)

	CND1: monophone		CND2: triphone	
	Original	T-Engine	Original	T-Engine
Male 30	78.2%	72.7%	86.7%	83.7%
Female 30	84.5%	79.7%	91.9%	88.2%
Total	81.3%	76.2%	89.1%	85.9%

#### (2) GMS Process Reduction

First, the recognition performance of the proposed GMS process reduction method was evaluated by the PC (Linux: Pentium4 2.8GHz) simulation. Figure 12 shows the evaluation results. Evaluation data were 100 sentences for each one male and one female from Japanese JNAS speech corpus. From the results, we found less k-neighbor value showed less word accuracy and the proposed GMS reduction method showed significant computing process time reduction (40% reduction) with small word accuracy loss (only 1%).

Next, the performance on the T-Engine (SH-4, 240MHz/430MIPS) platform was evaluated. The evaluation data were sentence utterances from 30 males and 30 females. The no. of k-neighbor was 24. Table 5 shows evaluation results on T-Engine. We found that requirement for the embedded Julius is less than 50MByte and that there was no big difference on word accuracy among no GMS, original GMS and the proposed GMS. The RTF (Real Time Factor) shows process length normalized by the utterance length. The proposed GMS showed 2.23 of RTF resulting 79% of that of no GMS. By the simulation, the process reduction by the proposed GMS was 40%. This difference may be occurred form T-Engine architecture and usage of cache memory.

**Table 5:** Evaluation Results(2) on T-Engine

	Memory size	word ACC	RTF
no GMS	48.9MBytes	89.1%	2.81
original GMS	49.8MBytes	89.3%	2.62
proposed GMS	49.8MBytes	89.7%	2.23

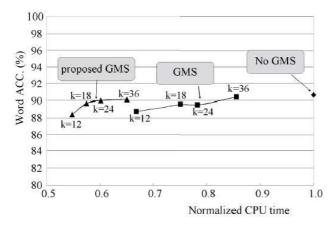


Fig. 12: Method Comparison: CPU time vs. Word ACC

## 7. FUTURE WORK

We will investigate more compact and more noise robust embedded version of Julius which has 20,000-word vocabulary size[11]. The new CPU processor SH-4A (400MHz/700MIPS) will be used to get fast processing time. For the noise robustness, we are developing a new noise reduction process module at the front-end.

#### 8. CONCLUSION

This paper describes surveys on processing devices and communication infrastructure, and reports the embedded version of Julius to provide sophisticated HMIs (Human Machine Interfaces). We used T-EngineTM (SH-4, 240MHz/430MIPS) as a hardware platform. We could realize 2.23 of RTF (Real Time Factor) of CSR processing on the condition of 5000-word vocabulary.

#### 9. ACKNOWLEDGEMENT

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