

# A Note on Maximal and Minimal Elements in Semigroups of Partial Transformation Preserving Order and Contraction

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## Abstract

For a positive integer  $n$ , let  $[n] = \{1, 2, 3, \dots, n\}$ , consider the semigroup  $COP_n$  consisting of all partial transformations  $\alpha$  from  $[n]$  to  $[n]$  such that  $\alpha$  preserves both a natural partial order  $\leq$  (if  $x \leq y$  then  $x\alpha \leq y\alpha$ ) and contraction ( $|x\alpha - y\alpha| \leq |x - y|$ ). In this paper, we give a characterization of maximal and minimal elements of  $COP_n$  with respect to its natural partial order.

**Keywords:** partial order, maximal (minimal) elements, transformation semigroup

## 1. Introduction and Preliminaries

For an arbitrary nonempty set  $X$ , let  $PT_X$  denotes the set of all partial transformations of  $X$ , that is, all mappings  $\alpha$  whose domain,  $dom \alpha$ , and range  $\alpha$ ,  $ran \alpha$ , are subsets of  $X$ . The empty transformation which is a partial transformation of  $X$  with empty domain is denoted by  $\emptyset$ . Then  $PT_X$  becomes a semigroup under composition of mappings, that is, for every  $\alpha, \beta \in PT_X$ ,  $\alpha\beta \in PT_X$  is defined by

$$x(\alpha\beta) = (x\alpha)\beta \quad \text{for all } x \in dom \alpha\beta.$$

We also have  $dom \alpha\beta = (ran \alpha \cap dom \beta)\alpha^{-1}$  and  $ran \alpha\beta = (ran \alpha \cap dom \beta)\beta$ .

Let  $[n] = \{1, 2, 3, \dots, n\}$  for a positive integer  $n$ . We will use the notation  $PT_n$  in place of  $PT_{[n]}$  to highlight that the set  $[n]$  has cardinal  $n$  and has ordered in the standard way. Then  $PT_n$  is a semigroup of all partial transformations of  $[n]$ . For  $\alpha \in PT_n$ ,  $\alpha$  is called an *order-preserving mapping* if for every  $x, y \in dom \alpha$ ,  $x \leq y$  implies  $x\alpha \leq y\alpha$ , whereas  $\alpha$  is called a *contraction* if for every  $x, y \in dom \alpha$ ,  $|x\alpha - y\alpha| \leq |x - y|$ . Let  $OP_n$  be the semigroup of all partial order-preserving transformations of  $[n]$  and  $COP_n$  be the subsemigroup

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of  $OP_n$  consisting of all contraction transformations on  $[n]$ . The semigroup  $COP_n$  was first studied by Zhao and Yang in 2012 which is called the *semigroup of partial transformation that preserving order and contraction on  $[n]$* . They also described Green's relations on  $COP_n$  and investigated regularity of elements in  $COP_n$ .

In 1986, Mitsch (1986) gave a characterization of the natural partial order on any semigroup  $S$  as follows:

$$a \leq b \text{ if and only if } a = xb = by \text{ and } a = ay \text{ for some } x, y \in S^1$$

where  $S^1$  is a monoid obtained from  $S$  by adjoining an identity if necessary. The natural partial order on various special subsemigroups of partial transformation semigroups have been studied by many researchers examples are (Kowol, & Mitsch, 1986), (Marques-Smith, & Sullivan, 2003), (Sun, Pei, & Cheng, 2008), (Sun, Deng, & Pei, 2011), (Sangkhanan, & Sanwong, 2012), (Sun, & Sun, 2013), (Sun, & Wang, 2013), (Sun, & Sun, 2016), (Han, & Sun, 2018) and (Sangkhanan, 2021).

Next, we introduce some definitions and notations that will be used in the sequel.

Let  $(X, \leq)$  be a partially ordered set. An element  $a \in X$  is called *maximal (minimal)* if  $a \leq x$  ( $x \leq a$ ) and  $x \in X$  implies  $a = x$ , and  $b \in X$  is called *maximum (minimum)* if  $x \leq b$  ( $b \leq x$ ) for all  $b \in X$ . We let  $\max X$  ( $\min X$ ) denote the maximum (minimum) element of  $X$ .

A subset  $C$  of  $[n]$  is said to be *convex* if  $C$  has the form  $[i, i + t] = \{x \in [n] \mid i \leq x \leq i + t\}$  for some  $i \in [n]$  and  $0 \leq t \leq n - 1$ .

Let  $A$  and  $B$  be any two subsets of  $[n]$ . We say that  $A$  is *less than*  $B$  and write  $A < B$ , if  $a < b$  for all  $a \in A, b \in B$ .

For  $\alpha, \beta \in PT_n$ , let

$$\alpha\beta^{-1} = \{(x, y) \in [n] \times [n] \mid x\alpha = y\beta\}.$$

For  $\alpha \in COP_n$ , we expressed as

$$\alpha = \begin{pmatrix} A_1 & A_2 & \dots & A_s \\ a_1 & a_2 & \dots & a_s \end{pmatrix}$$

where  $dom \alpha = A_1 \cup A_2 \cup \dots \cup A_s, ran \alpha = \{a_1, a_2, \dots, a_s\}, a_1 < a_2 < \dots < a_s, A_1 < A_2 < \dots < A_s,$

$a_i - a_{i-1} \leq \min A_i - \max A_{i-1}$  for  $i = 2, 3, \dots, s$  and  $a_i\alpha^{-1} = A_i$  for  $i = 1, 2, \dots, s$ .

In this introductory section, we present a number of Theorems most of which will be indispensable for our research.

**Proposition 1.** (Kemprasite, & Changphas, 2000) Let  $\alpha \in OP_n$  and  $x, y \in \text{ran } \alpha$  be such that  $x < y$ .

Then  $x\alpha^{-1} < y\alpha^{-1}$ .

**Theorem 2.** (Marques-Smith, & Sullivan, 2003) Let  $\alpha, \beta \in PT_n$ . Then  $\alpha \leq \beta$  on  $PT_n$  if and only if

- (i)  $\text{dom } \alpha \subseteq \text{dom } \beta$
- (ii)  $\text{ran } \alpha \subseteq \text{ran } \beta$
- (iii)  $\alpha\beta^{-1} \subseteq \alpha\alpha^{-1}$  and
- (iv)  $\beta\beta^{-1} \cap (\text{dom } \beta \times \text{dom } \alpha) \subseteq \alpha\alpha^{-1}$ .

**Theorem 3.** (Namnak, & Sawatraksa, 2015) Let  $\alpha, \beta \in COP_n$  be such that  $\alpha = \begin{pmatrix} A_1 \\ a_1 \end{pmatrix}$ . Then  $\alpha \leq \beta$  if and only if

- (i)  $A_1 \subseteq \text{dom } \beta$
- (ii)  $a_1 \in \text{ran } \beta$
- (iii)  $\alpha\beta^{-1} \subseteq \alpha\alpha^{-1}$  and
- (iv)  $\beta\beta^{-1} \cap (\text{dom } \beta \times \text{dom } \alpha) \subseteq \alpha\alpha^{-1}$ .

**Theorem 4.** (Namnak, & Sawatraksa, 2015) Let  $\alpha, \beta \in COP_n$  be such that  $\alpha = \begin{pmatrix} A_1 & A_2 \\ a_1 & a_2 \end{pmatrix}$ . Then  $\alpha \leq \beta$  if and only if

- (i)  $\text{dom } \alpha \subseteq \text{dom } \beta$
- (ii)  $\text{ran } \alpha \subseteq \text{ran } \beta$
- (iii) for every  $i \in \{1, 2\}$  and  $y \in \text{ran } \beta$ , if  $y\beta^{-1} \cap A_i \neq \emptyset$ , then  $y\beta^{-1} \subseteq A_i$  and
- (iv)  $(\max A_1)\beta = a_1$  and  $(\min A_2)\beta = a_2$ .

**Theorem 5 .** (Namnak, & Sawatraksa, 2015) Let  $\alpha, \beta \in COP_n$  be such that  $\alpha = \begin{pmatrix} A_1 & A_2 & \dots & A_s \\ a_1 & a_2 & \dots & a_s \end{pmatrix}$  where  $s \geq 3$ . Then  $\alpha \leq \beta$  if and only if

- (i)  $\text{dom } \alpha \subseteq \text{dom } \beta$
- (ii)  $\text{ran } \alpha \subseteq \text{ran } \beta$
- (iii)  $a_i\beta^{-1} = A_i$  for all  $i \in [2, s-1]$
- (iv) for every  $i \in \{1, s\}$  and  $y \in \text{ran } \beta$ , if  $y\beta^{-1} \cap A_i \neq \emptyset$ , then  $y\beta^{-1} \subseteq A_i$  and
- (v)  $(\max A_1)\beta = a_1$  and  $(\min A_s)\beta = a_s$ .

The purpose of this article is to investigate the conditions under which elements in  $COP_n$  are maximal and minimal with respect to the natural partial order.

## 2. Main Results

Note that, if  $n = 1$ , we get  $COP_1 = \{\emptyset, \left(\begin{smallmatrix} \{1\} \\ 1 \end{smallmatrix}\right)\}$  and then  $\left(\begin{smallmatrix} \{1\} \\ 1 \end{smallmatrix}\right)$  is the maximum element of  $COP_1$ . If  $n = 2$ , then  $COP_2 = \{\emptyset, \left(\begin{smallmatrix} \{1\} \\ 1 \end{smallmatrix}\right), \left(\begin{smallmatrix} \{1\} \\ 2 \end{smallmatrix}\right), \left(\begin{smallmatrix} \{2\} \\ 1 \end{smallmatrix}\right), \left(\begin{smallmatrix} \{2\} \\ 2 \end{smallmatrix}\right), \left(\begin{smallmatrix} \{1,2\} \\ 1 \end{smallmatrix}\right), \left(\begin{smallmatrix} \{1,2\} \\ 2 \end{smallmatrix}\right), \left(\begin{smallmatrix} \{1\} & \{2\} \\ 1 & 2 \end{smallmatrix}\right)\}$ . It is easily verified that  $\left\{\left(\begin{smallmatrix} \{1\} \\ 1 \end{smallmatrix}\right), \left(\begin{smallmatrix} \{1\} \\ 2 \end{smallmatrix}\right), \left(\begin{smallmatrix} \{2\} \\ 1 \end{smallmatrix}\right), \left(\begin{smallmatrix} \{2\} \\ 2 \end{smallmatrix}\right), \left(\begin{smallmatrix} \{1\} & \{2\} \\ 1 & 2 \end{smallmatrix}\right)\right\}$  is the set of all maximal elements of  $COP_2$ .

In order to prove our main results, the following lemmas will be needed later.

**Lemma 6.** Let  $\alpha = \begin{pmatrix} A_1 & A_2 & \dots & A_s \\ a_1 & a_2 & \dots & a_s \end{pmatrix} \in COP_n$ . If  $\alpha$  is maximal, then  $ran \alpha$  is a convex subset of  $[n]$ .

**Proof.** If  $s = 1$  is trivial. Assume that  $s \geq 2$ , suppose that  $ran \alpha$  is not convex. Then there exists an element  $y \in [a_1, a_s]$  where  $y \notin ran \alpha$ . Thus,  $a_{i-1} < y < a_i$  for some  $i \in [2, s]$ . Hence,  $a_i - a_{i-1} > 1$ . By contraction of  $\alpha$ , we get  $\min A_i - \max A_{i-1} > 1$ .

Let  $k = y - a_{i-1}$  and  $l = a_i - y$ . Then  $k + l = a_i - a_{i-1} \leq \min A_i - \max A_{i-1}$ .

Let  $z \in [\max A_{i-1} + k, \min A_i - l]$ . Then  $\max A_{i-1} < z < \min A_i$ . Define  $\beta \in OP_n$  by

$$\beta = \begin{pmatrix} A_1 & A_2 & \dots & A_{i-1} & \{z\} & A_i & \dots & A_s \\ a_1 & a_2 & \dots & a_{i-1} & y & a_i & \dots & a_s \end{pmatrix}.$$

Obviously,  $\alpha \neq \beta$ . If  $x \in A_{i-1}$ , then

$$|x\beta - z\beta| = |a_{i-1} - y| = y - a_{i-1} = k = (\max A_{i-1} + k) - \max A_{i-1} \leq z - \max A_{i-1} \leq |x - z|.$$

If  $x \in A_i$ , then

$$|x\beta - z\beta| = |a_i - y| = a_i - y = l = \min A_i - (\min A_i - l) \leq \min A_i - z \leq |x - z|.$$

We deduce that  $\beta \in COP_n$ . It can be easily checked that  $\alpha \leq \beta$  from Theorem 4 or 5. This proves that  $\alpha$  is not maximal. This completes the proof.  $\square$

**Lemma 7.** Let  $\alpha = \begin{pmatrix} A_1 & A_2 & \dots & A_s \\ a_1 & a_2 & \dots & a_s \end{pmatrix} \in COP_n$ . If  $\alpha$  is maximal and  $n \in ran \alpha$ , then  $A_1 = \{1\}$ .

**Proof.** Let  $\alpha$  be a maximal element of  $COP_n$  and  $n \in ran \alpha$ . Then  $a_s = n$ . Suppose that  $A_1 \neq \{1\}$ . Then  $A_1 \setminus \{1\} \neq \emptyset$ . If  $a_1 = 1$ , choose  $x \in A_1 \setminus \{1\}$ . Hence,  $x > 1$  and  $x\alpha = 1$ . By contraction of  $\alpha$ , we have

$$n - 1 = |\min A_s \alpha - x\alpha| \leq \min A_s - x \leq n - x$$

which is impossible. Thus,  $a_1 > 1$ . Define  $\beta \in OP_n$  by

$$\beta = \begin{pmatrix} \{1\} & A_1 \setminus \{1\} & A_2 & \dots & A_s \\ a_1 - 1 & a_1 & a_2 & \dots & n \end{pmatrix}.$$

For each  $x \in A_1 \setminus \{1\}$ ,

$$|x\beta - 1\beta| = a_1 - (a_1 - 1) = 1 \leq x - 1 = |x - 1|,$$

so  $\beta \in COP_n$ . It can be easily checked that  $\alpha \leq \beta$  from Theorem 4 or 5. By property of  $\alpha$ , we have  $\alpha = \beta$  which is a contradiction. Hence,  $A_1 = \{1\}$ .  $\square$

**Lemma 8.** Let  $\alpha = \begin{pmatrix} A_1 & A_2 & \dots & A_s \\ a_1 & a_2 & \dots & a_s \end{pmatrix} \in COP_n$ . If  $\alpha$  is maximal and  $n \notin ran \alpha$ , then  $A_s = \{n\}$ .

**Proof.** Let  $\alpha$  be a maximal element of  $COP_n$  and  $n \notin ran \alpha$ . Suppose that  $A_s \neq \{n\}$ . Then  $A_s \setminus \{n\} \neq \emptyset$ . Since  $n \notin ran \alpha$ , it follows that  $a_s < n$ . Define  $\beta \in OP_n$  by

$$\beta = \begin{pmatrix} A_1 & A_2 & \dots & A_s \setminus \{n\} & \{n\} \\ a_1 & a_2 & \dots & a_s & a_s + 1 \end{pmatrix}.$$

For each  $x \in A_s \setminus \{n\}$ ,

$$|x\beta - n\beta| = |a_s - (a_s + 1)| = 1 \leq |x - n|,$$

thus  $\beta \in COP_n$ . It can be easily checked that  $\alpha \leq \beta$  from Theorem 4 or 5. By property of  $\alpha$ , we have  $\alpha = \beta$  which is a contradiction. Hence,  $A_s = \{n\}$ .  $\square$

**Lemma 9.** Let  $\alpha = \begin{pmatrix} A_1 & A_2 & \dots & A_s \\ a_1 & a_2 & \dots & a_s \end{pmatrix} \in COP_n$ . If  $\alpha$  is maximal, then  $1 \in \text{ran } \alpha$  or  $A_1 = \{1\}$ .

**Proof.** Suppose that  $1 \notin \text{ran } \alpha$  and  $A_1 \neq \{1\}$ . Then  $a_1 \neq 1$  and  $A_1 \setminus \{1\} \neq \emptyset$ . Define  $\beta \in OP_n$  by

$$\beta = \begin{pmatrix} \{1\} & A_1 \setminus \{1\} & A_2 & \dots & A_s \\ a_1 - 1 & a_1 & a_2 & \dots & a_s \end{pmatrix}.$$

For each  $x \in A_1 \setminus \{1\}$ ,

$$|1\beta - x\beta| = |a_1 - (a_1 + 1)| = 1 \leq x - 1,$$

so,  $\beta \in COP_n$ . It can be easily checked that  $\alpha \leq \beta$  from Theorem 4 or 5. Thus  $\alpha$  is not a maximal element of  $COP_n$ .  $\square$

**Lemma 10.** Let  $\alpha, \beta \in COP_n$  be such that  $\alpha \leq \beta$ . If  $x \in \text{dom } \beta \setminus \text{dom } \alpha$ , then  $x\beta \notin \text{ran } \alpha$ .

**Proof.** Let  $x \in \text{dom } \beta \setminus \text{dom } \alpha$ . Suppose that  $x\beta \in \text{ran } \alpha$ . Then there exists  $z \in \text{dom } \alpha$  such that  $z\alpha = x\beta$ . Hence,  $(z, x) \in \alpha\beta^{-1}$ . Since  $\alpha \leq \beta$ ,  $\alpha\beta^{-1} \subseteq \alpha\alpha^{-1}$  by Theorem 2(iii). This implies that  $(z, x) \in \alpha\alpha^{-1}$ , so  $x\alpha = z\alpha$  which contradicts to  $x \notin \text{dom } \alpha$ . Therefore,  $x\beta \notin \text{ran } \alpha$ .  $\square$

**Lemma 11.** Let  $\alpha = \begin{pmatrix} A_1 & A_2 & \dots & A_s \\ a_1 & a_2 & \dots & a_s \end{pmatrix}, \beta \in COP_n$  be such that  $\alpha \leq \beta$ . If  $\text{dom } \alpha = \text{dom } \beta, A_1 = \{1\}$  and  $a_s = n$ , then  $\alpha = \beta$ .

**Proof.** Let  $\text{dom } \alpha = \text{dom } \beta, A_1 = \{1\}$  and  $a_s = n$ . We show that  $\alpha = \beta$ , let  $x \in \text{dom } \alpha$ . We consider three possible cases.

**Case 1:**  $s = 1$ . Then  $\text{dom } \alpha = \{1\}$  and hence  $x = 1$  and  $x\alpha = a_1$ . Since  $\alpha \leq \beta$ ,  $a_1 \in \text{ran } \beta$  by Theorem 3(ii). By assumption, we have  $\text{dom } \beta = \{1\}$ , it then follows that  $x\beta = 1\beta = a_1 = x\alpha$ .

**Case 2:**  $s = 2$ . Then  $\text{dom } \alpha = A_1 \cup A_2 = \{1\} \cup A_2$ , and  $a_2 = n$ .

If  $x \in A_1$ , then  $x\alpha = a_1$ . By Theorem 4(iv),  $x\alpha = a_1 = (\max A_1)\beta = 1\beta = x\beta$ .

If  $x \in A_2$ , then  $x\alpha = n$  and  $\min A_2 \leq x$ . Since  $\beta \in OP_n$ ,  $(\min A_2)\beta \leq x\beta$ . By Theorem 4(iv),

$(\min A_2)\beta = a_2 = n$ . This implies that  $x\beta = x\alpha$ .

**Case 3:**  $s \geq 3$ . Then  $\text{dom } \alpha = A_1 \cup A_2 \cup \dots \cup A_s$  and  $a_s = n$ .

If  $x \in A_1 = \{1\}$ , then  $x\alpha = a_1 = (\max A_1)\beta = 1\beta = x\beta$  by Theorem 5(v).

If  $x \in A_i$  for some  $i \in [2, s-1]$ , then  $x\alpha = a_i$ . By Theorem 5(iii), we have that  $x \in a_i\beta^{-1}$  which implies that  $x\beta = a_i$ . Thus,  $x\beta = x\alpha$ .

If  $x \in A_s$ , then  $x\alpha = n$  and  $\min A_s \leq x$ . Since  $\beta \in OP_n$  and by Theorem 5(v), we have  $n = (\min A_s)\beta \leq x\beta$ . This implies that  $x\beta = n = x\alpha$ .

From above three cases we obtain  $\alpha = \beta$ , as required.  $\square$

**Lemma 12.** Let  $\alpha = \begin{pmatrix} A_1 & A_2 & \dots & A_s \\ a_1 & a_2 & \dots & a_s \end{pmatrix}, \beta \in COP_n$  be such that  $\alpha \leq \beta$ . If  $\text{dom } \alpha = \text{dom } \beta, 1 \in \text{ran } \alpha$  and  $A_s = \{n\}$ , then  $\alpha = \beta$ .

**Proof.** Let  $\text{dom } \alpha = \text{dom } \beta, 1 \in \text{ran } \alpha$  and  $A_s = \{n\}$ . Then  $a_1 = 1$ . To show that  $\alpha = \beta$ , we consider three possible cases.

**Case 1:  $s = 1$ .** Clearly,  $n\alpha = 1 = n\beta$ .

**Case 2:  $s = 2$ .** Then  $\text{dom } \alpha = A_1 \cup \{n\}$ .

If  $x = n$ , then  $x\alpha = a_2$ . By Theorem 2(iv),  $n\beta = (\min A_2)\beta = a_2$ . Hence,  $x\alpha = x\beta$ .

If  $x \in A_1$ , then  $x\alpha = 1$ . By Theorem 2(iv),  $(\max A_1)\beta = 1$ . Since  $x \leq \max A_1$  and  $\beta \in OP_n$ ,  $x\beta \leq (\max A_1)\beta$ . This implies that  $x\beta = 1$ , hence,  $x\alpha = x\beta$ .

**Case 3:  $s \geq 3$ .** Then  $\text{dom } \alpha = A_1 \cup A_2 \cup \dots \cup \{n\}$ .

If  $x \in A_1$ , then  $x\alpha = 1$ . By Theorem 2(iv),  $a_1 = (\max A_1)\beta$ . Since  $\beta \in OP_n$  and  $x \leq \max A_1$ , we obtain  $x\beta \leq (\max A_1)\beta$ . It follows that  $x\beta = 1$ . Thus,  $x\alpha = x\beta$ .

If  $x \in A_i$  for some  $i \in [2, s-1]$ , then  $x\alpha = a_i$ . By Theorem 2(iv) we have that  $x \in a_i\beta^{-1}$  which implies that  $x\beta = a_i$ . Thus,  $x\beta = x\alpha$ .

If  $x \in A_s = \{n\}$ , then  $x = n$  and  $x\alpha = a_s$ . By Theorem 2(iv),  $a_s = (\min A_s)\beta = n\beta = x\beta$ . Hence,  $x\alpha = x\beta$ .

From above three cases we obtain  $\alpha = \beta$ , as required.  $\square$

**Lemma 13.** Let  $\alpha = \begin{pmatrix} A_1 & A_2 & \dots & A_s \\ a_1 & a_2 & \dots & a_s \end{pmatrix}, \beta \in COP_n$  be such that  $\alpha \leq \beta$ . If  $\text{dom } \alpha = \text{dom } \beta, A_1 = \{1\}$  and  $A_s = \{n\}$ , then  $\alpha = \beta$ .

**Proof.** Let  $\text{dom } \alpha = \text{dom } \beta, A_1 = \{1\}$  and  $A_s = \{n\}$ . To show that  $\alpha = \beta$ , we consider three possible cases.

**Case 1:  $s = 1$ .** Obviously,  $1\alpha = 1\beta$ .

**Case 2:  $s = 2$ .** Then  $A_2 = \{n\}$ , hence  $\text{dom } \alpha = \{1, n\}$ . By Theorem 4(iv),

$$1\alpha = a_1 = (\max A_1)\beta = 1\beta \text{ and } n\alpha = a_2 = (\min A_2)\beta = n\beta.$$

**Case 3:**  $s \geq 3$ . Let  $x \in \text{dom } \alpha$ .

If  $x \in A_1 = \{1\}$ , then  $x = 1$  and hence  $x\alpha = a_1 = (\max A_1)\beta = 1\beta = x\beta$ .

If  $x \in A_i$  for some  $i \in [2, s-1]$ , then  $x\alpha = a_i$ . By Theorem 2(iv), we have that  $x \in a_i\beta^{-1}$  which implies that  $x\beta = a_i$ . Thus,  $x\beta = x\alpha$ .

If  $x \in A_s = \{n\}$ , then  $x = n$ . By Theorem 2(iv), we have  $x\beta = n\beta = (\min A_s)\beta = a_s = x\alpha$ .

From above three cases we obtain  $\alpha = \beta$ , as required.  $\square$

Next, we give necessary and sufficient conditions for elements of  $COP_n$  to be maximal when  $n \geq 3$ .

**Theorem 14.** Let  $\alpha = \begin{pmatrix} A_1 & A_2 & \dots & A_s \\ a_1 & a_2 & \dots & a_s \end{pmatrix} \in COP_n$ . Then  $\alpha$  is maximal if and only if  $\text{ran } \alpha$  is a convex subset of  $[n]$  and either one of the following conditions holds:

- (i) if  $n \in \text{ran } \alpha$ , then  $A_1 = \{1\}$  or
- (ii) if  $n \notin \text{ran } \alpha$ , then  $A_s = \{n\}$  and  $(1 \in \text{ran } \alpha \text{ or } A_1 = \{1\})$ .

**Proof.** *Necessity.* It follows from Lemma 6, 7, 8 and 9.

*Sufficiency.* We must prove that  $\alpha$  is maximal of  $COP_n$ . Let  $\beta \in COP_n$  be such that  $\alpha \leq \beta$ . By Theorem 2,  $\text{dom } \alpha \subseteq \text{dom } \beta$ ,  $\text{ran } \alpha \subseteq \text{ran } \beta$  and  $\alpha\beta^{-1} \subseteq \alpha\alpha^{-1}$ . To prove that  $\alpha = \beta$ , let's divide it into two cases.

**Case 1:**  $n \in \text{ran } \alpha$ . By (i), we have  $A_1 = \{1\}$ . Suppose that  $\text{dom } \beta \setminus \text{dom } \alpha \neq \emptyset$ . Then there is an element  $x \in \text{dom } \beta \setminus \text{dom } \alpha$ . Then  $x > 1$  and  $x\beta = y$  for some  $y \in \text{ran } \beta$ . By Lemma 10,  $y \notin \text{ran } \alpha$ . Since  $\text{ran } \alpha$  is convex,  $A_1 = \{1\}$  and  $n \in \text{ran } \alpha$ , we obtain that  $1\alpha = a_1$  and  $\text{ran } \alpha = [a_1, n]$ . This implies  $y < a_1$ . Since  $a_1 \in \text{ran } \alpha \subseteq \text{ran } \beta$ , there exists  $a' \in [n]$  such that  $a'\beta = a_1$ . Hence,  $(1, a') \in \alpha\beta^{-1} \subseteq \alpha\alpha^{-1}$  and so  $a'\alpha = 1\alpha = a_1$ . Since  $A_1 = \{1\}$ , it follows that  $a' = 1$  and hence  $1\beta = a_1$ . Since  $\beta \in OP_n$  and  $y < a_1$ ,  $y\beta^{-1} < a_1\beta^{-1}$  by Proposition 1 and hence,  $x < 1$  which is a contradiction. This proves that  $\text{dom } \beta \setminus \text{dom } \alpha = \emptyset$ . Hence,  $\text{dom } \alpha = \text{dom } \beta$  comes directly from  $\text{dom } \alpha \subseteq \text{dom } \beta$ . By Lemma 11,  $\alpha = \beta$ .

**Case 2:**  $n \notin \text{ran } \alpha$ . By (ii), we have  $A_s = \{n\}$  and  $(1 \in \text{ran } \alpha \text{ or } A_1 = \{1\})$ . Consider two subcases.

**Subcase 2.1:**  $1 \in \text{ran } \alpha$ . Suppose that  $\text{dom } \beta \setminus \text{dom } \alpha \neq \emptyset$ . Then there exists  $x \in \text{dom } \beta \setminus \text{dom } \alpha$ . Then  $x\beta = y$  for some  $y \in \text{ran } \beta$ . By Lemma 10,  $y \notin \text{ran } \alpha$ .



Since  $\text{ran } \alpha$  is convex,  $1 \in \text{ran } \alpha$  and  $A_s = \{n\}$ , we obtain  $\text{ran } \alpha = [1, a_s]$  and  $n\alpha = a_s$ . This implies  $a_s < y$ . Since  $a_s \in \text{ran } \alpha \subseteq \text{ran } \beta$ , there exists  $a' \in [n]$  such that  $a'\beta = a_s$ . Hence,  $(n, a') \in \alpha\beta^{-1} \subseteq \alpha\alpha^{-1}$  and thus,  $a'\alpha = n\alpha$ . It follows that  $a' = n$  and  $n\beta = a_s$ . Since  $\beta \in OP_n$  and  $a_s < y$  by Proposition 1, we obtain  $a_s\beta^{-1} < y\beta^{-1}$ , and hence,  $n < x$ . This is a contradiction which means that  $\text{dom } \alpha = \text{dom } \beta$ . By Lemma 12,  $\alpha = \beta$ .

**Subcase 2.2:**  $A_1 = \{1\}$ . Suppose that  $\text{dom } \beta \setminus \text{dom } \alpha \neq \emptyset$ . Then there exists  $x \in \text{dom } \beta \setminus \text{dom } \alpha$ . Then  $x\beta = y$  for some  $y \in \text{ran } \beta$ . By Lemma 10,  $y \notin \text{ran } \alpha$ . Since  $\text{ran } \alpha$  is convex,  $\text{ran } \alpha = [a_1, a_s]$ ,  $1\alpha = a_1$  and  $n\alpha = a_s$ . These imply that  $y < a_1$  or  $a_s < y$ . Since  $\beta \in OP_n$ ,  $y\beta^{-1} < a_1\beta^{-1}$  or  $a_s\beta^{-1} < y\beta^{-1}$  by Proposition 1. Hence,  $x < 1$  or  $n < y$ . This is a contradiction. Therefore,  $\text{dom } \alpha = \text{dom } \beta$ . By Lemma 13,  $\alpha = \beta$ .

Considering the above two cases, we can conclude that  $\alpha$  is a maximal element of  $COP_n$ . □

Let us, illustrate this theorem. For  $n = 8$ , let

$$\alpha_1 = \begin{pmatrix} \{1\} & \{3, 5\} & \{6, 7\} \\ 6 & 7 & 8 \end{pmatrix}, \alpha_2 = \begin{pmatrix} \{2\} & \{3, 5, 7\} & \{8\} \\ 1 & 2 & 3 \end{pmatrix}, \alpha_3 = \begin{pmatrix} \{1\} & \{3, 5, 7\} & \{8\} \\ 3 & 4 & 5 \end{pmatrix},$$

$$\text{and } \alpha_4 = \begin{pmatrix} \{1\} & \{2, 3, 4\} & \{5, 6\} & \{7, 8\} \\ 5 & 6 & 7 & 8 \end{pmatrix}.$$

It is easy to check that  $\alpha_1, \alpha_2, \alpha_3, \alpha_4 \in COP_n$ . By Theorem 14, all such transformations are maximal.

It is clear that  $\emptyset$  is the minimum element of  $COP_n$ . We say  $\alpha \in COP_n \setminus \{\emptyset\}$  is a non-zero minimal element of  $COP_n$  if  $\alpha$  is minimal among the non-zero elements of  $COP_n$ . Finally, we provide necessary and sufficient condition for non-zero elements in  $COP_n$  to be minimal.

**Theorem 15.** Let  $\alpha$  be a non-zero element in  $COP_n$ . Then  $\alpha$  is a non-zero minimal element of  $COP_n$  if and only if  $\alpha$  is a constant mapping.

**Proof.** *Necessity.* We will prove the contrapositive. Suppose that  $\alpha$  be nonconstant. Then  $|\text{ran } \alpha| > 1$ . We choose and fix an element  $u \in \text{ran } \alpha$ . Define  $\beta \in COP_n$  by

$$x\beta = u \text{ for all } x \in u\alpha^{-1}.$$

It is clearly that  $\emptyset \neq \beta \neq \alpha$ . It suffices to verify that  $\beta\alpha^{-1} \subseteq \beta\beta^{-1}$  and  $\alpha\alpha^{-1} \cap (\text{dom } \alpha \times \text{dom } \beta) \subseteq \beta\beta^{-1}$ . Let  $(x, y) \in \beta\alpha^{-1}$ . Then  $x\beta = y\alpha$ . Since  $x\beta = u$ , it follows that  $y \in u\alpha^{-1}$ . This implies  $x\beta = y\beta$ , and thus,  $(x, y) \in \beta\beta^{-1}$ . Hence,  $\beta\alpha^{-1} \subseteq \beta\beta^{-1}$ . Next, let  $(x, y) \in \alpha\alpha^{-1} \cap (\text{dom } \alpha \times \text{dom } \beta)$ . Then  $x\alpha = y\alpha$  and  $y \in \text{dom } \beta = u\alpha^{-1}$ . Hence,  $y\alpha = u$ , so  $x \in u\alpha^{-1}$ . By the definition of  $\beta$ ,  $x\beta = u$ . This shows that  $(x, y) \in \beta\beta^{-1}$ . By Theorem 3,  $\beta \leq \alpha$ . We proved that  $\alpha$  is not a non-zero minimal element of  $COP_n$ .

*Sufficiency.* Assume that  $\alpha$  is constant. Then there exists  $y \in [n]$  such that  $x\alpha = y$  for all  $x \in \text{dom } \alpha$ . Let  $\gamma \in COP_n \setminus \{\emptyset\}$  be such that  $\gamma \leq \alpha$ . By Theorem 3,  $\text{dom } \gamma \subseteq \text{dom } \alpha$ ,  $\text{ran } \gamma \subseteq \text{ran } \alpha$  and  $\gamma\alpha^{-1} \subseteq \gamma\gamma^{-1}$ . It follows directly that  $\text{ran } \gamma = \{y\}$ . To show that  $\text{dom } \alpha \subseteq \text{dom } \gamma$ , let  $a \in \text{dom } \alpha$ . Then  $a\alpha = y$ . We choose an element  $b \in \text{dom } \gamma$ . Then  $b\gamma = y$  and hence,  $a\alpha = b\gamma$ . This shows that  $(b, a) \in \gamma\alpha^{-1} \subseteq \gamma\gamma^{-1}$ , which means that  $a \in \text{dom } \gamma$ . Therefore,  $\text{dom } \alpha \subseteq \text{dom } \gamma$ , as required. Consequently,  $\alpha = \gamma$ . This completes the proof.  $\square$

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