

A Note on Normal and Comaximal Lattices

Chandrani Nag, Shamsun Naher Begum and M. Rashed Talukder*

Department of Mathematics, Shahjalal University of Science and Technology,
Sylhet, Bangladesh

Abstract

In this paper 1-distributive lattices which is a generalization of distributive lattices were discussed. We give a characterization of 1-distributive lattices. The Separation Theorem for the element 1 is proven. Normal lattices and comaximal lattices are also discussed. It is shown that the class of comaximal lattices is a subclass of normal lattices but not the converse. The two classes are same if the lattices are 1-distributive.

Keywords: 1-distributive lattice; prime ideal normal lattice; comaximal lattice

AMS Subject Classification: 06A12, 06A99, 06B10

1. Introduction

Lattice theories play an important role for the study of universal algebra. We refer the reader to the monographs [2, 3] for lattice theories. There are many research works on modular and distributive lattices. A lattice L is called *distributive* if for any $a, b, c \in L$,

$$a \wedge (b \vee c) = (a \wedge b) \vee (a \wedge c)$$

or equivalently,

$$a \vee (b \wedge c) = (a \vee b) \wedge (a \vee c).$$

Now a natural question is: when it is possible to generalize the results of distributive lattices for any lattices? In this paper we generalize some results of distributive lattices with (the largest element) 1. A lattice L with 1 is called 1-distributive if for any $a, b, c \in L$,

$$a \vee b = 1 = a \vee c \Rightarrow a \vee (b \wedge c) = 1.$$

The pentagonal lattice $\mathcal{P}_5$ (see the diagram in Figure 1) is 1-distributive but not distributive. Thus, not every 1-distributive

lattice is a distributive lattice. The diamond lattice $\mathcal{M}_3$ (see the diagram in Figure 1) is not 1-distributive.

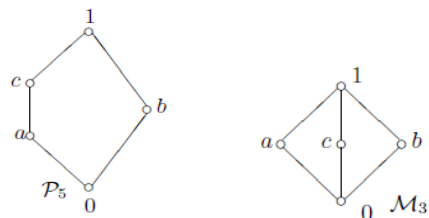


Fig.1. The pentagonal lattice $\mathcal{P}_5$ and the diamond lattice $\mathcal{M}_3$.

In Section 2, we study 1-distributive lattices. Like as distributive lattices we give a characterization of 1-distributive lattices. We also prove a separation theorem for the element 1.

In [1] Cornish has introduced normal lattices in presence of distributivity. In Section 3, we generalize a part of his result. We study the class of normal lattices in general. We also study the class of comaximal lattices. We

show that the class of comaximal lattices is a proper subclass of the class of normal lattices. We also show that these two classes are equivalent in 1-distributive lattices.

2. 1-distributive Lattices.

There are very few works on 1-distributive lattices. For 1-distributive lattices, we refer the reader to [4].

By the definition, clearly we have the following results.

Lemma 2.1.

- (a) Every distributive lattice with 1 is 1-distributive.
- (b) Every sublattice with the 1 of a 1-distributive lattice is 1-distributive.

Thus, the class of distributive lattices with 1 is a proper subclass of 1-distributive lattices. Another important subclass of lattices is modular lattices. A lattice $\mathbf{L}$ is called *modular* if for any $a, b, c \in L$ with $c \leq a$ implies

$$a \wedge (b \vee c) = (a \wedge b) \vee c.$$

Now we have the following characterization of 1-distributive lattices.

Theorem 2.2.

Let $\mathbf{L}$ be a modular lattice with 1. Then the following are equivalent:

- (a) $\mathbf{L}$ is 1-distributive;
- (b) $\mathbf{L}$ contains no sublattice with the 1 isomorphic to either $\mathcal{M}_3$ or $\mathcal{N}$ given in the Figure 2.

Proof.

(a) $\Rightarrow$ (b). Let $\mathbf{L}$ be 1-distributive. By the definition, the lattices $\mathcal{M}_3$ and $\mathcal{N}$ are not 1-distributive. By Lemma 2.1.(b) every sublattice with 1 of a 1-distributive lattice is 1-distributive. Therefore, $\mathbf{L}$ has no sublattice isomorphic to $\mathcal{M}_3$ or $\mathcal{N}$.

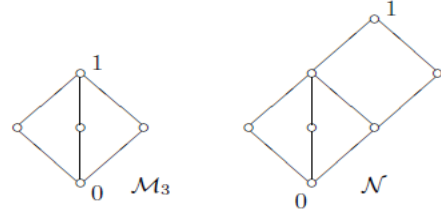


Fig.2. Two modular but not 1-distributive lattices.

(b) $\Rightarrow$ (a). Let $\mathbf{L}$ be a modular lattice with 1. Assume $\mathbf{L}$ is not 1-distributive. We construct a sublattice with 1 of $\mathbf{L}$ which is isomorphic to one of the lattices $\mathcal{M}_3$ or $\mathcal{N}$ given by the diagrams in Figure 2. Since $\mathbf{L}$ is not 1-distributive, there are non-comparable elements $d, e, f \in L$ such that $d \vee e = 1 = d \vee f$ and $d \vee (e \wedge f) < 1$.

Put

$$p := (d \vee e) \wedge (e \vee f) \wedge (d \vee f)$$

$$q := (d \wedge e) \vee (e \wedge f) \vee (d \wedge f)$$

$$u := (d \vee q) \wedge p$$

$$v := (e \vee q) \wedge p$$

$$w := (f \vee q) \wedge p$$

$$r := d \vee (e \wedge f) = d \vee q.$$

Then clearly, u, v, w are non-comparable, $d \vee p = 1$, $d \vee q < 1$, $e \vee q = e \vee (d \wedge f)$ and $q, u, v, w \leq p$. Hence $q < u, v, w$ and $q < p$. Now

$$u \vee v = ((d \vee q) \wedge p) \vee ((e \vee q) \wedge p)$$

$$= ((d \vee q) \vee ((e \vee q) \wedge p)) \wedge p$$

$$\text{By modularity where } (e \vee q) \wedge p \leq p$$

$$= ((d \vee q) \vee ((e \wedge p) \vee q)) \wedge p$$

$$\text{By modularity where } q < p$$

$$= ((d \vee q) \vee (e \wedge p) \vee q) \wedge p$$

$$= ((d \vee q) \vee (e \wedge p)) \wedge p$$

$$= ((d \vee (e \wedge f) \vee (e \wedge (d \vee f))) \wedge p$$

$$= (d \vee (e \wedge f) \vee e) \wedge p \quad [\because d \vee f = 1]$$

$$= (d \vee e) \wedge p$$

$$= p \quad [\because d \vee e = 1]$$

Similarly, $v \vee w = p$ and $w \vee u = p$.

Again

$$\begin{aligned}
 u \wedge v &= ((d \vee q) \wedge p) \wedge ((e \vee q) \wedge p) \\
 &= (d \vee q) \wedge (e \vee q) \wedge p \\
 &= ((d \wedge (e \vee q)) \vee q) \wedge p \\
 &\quad \text{By modularity where } q \leq e \vee q \\
 &= ((d \wedge (e \vee (d \wedge f))) \vee q) \wedge p \\
 &= ((d \wedge e) \vee (d \wedge f) \vee q) \wedge p \\
 &\quad \text{By modularity where } d \wedge f \leq d \\
 &= q \wedge p \\
 &= q.
 \end{aligned}$$

Similarly, $v \wedge w = q$ and $w \wedge u = q$.

Case 1. When $p = 1$. Then

$$u = d \vee q = d \vee (e \wedge f) = r.$$

Hence the set $\{q, u, v, w, 1\}$ with the operations of $\mathbf{L}$ forms a sublattice, say, $\mathbf{A}$ with 1 of $\mathbf{L}$ (see the diagram in Figure 3) which is isomorphic to M_3 .

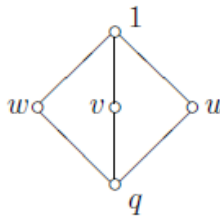


Figure 3.

Case 2. When $p \neq 1$. We have

$$\begin{aligned}
 u &= (d \vee q) \wedge p = (d \vee (e \wedge f)) \wedge p \\
 &= r \wedge p.
 \end{aligned}$$

Thus, $u < r$. Now

$$\begin{aligned}
 r \vee p &= d \vee (e \wedge f) \vee p = 1. \\
 r \vee v &= (d \vee (e \wedge f)) \vee ((e \vee q) \wedge p) \\
 &= d \vee (e \wedge f) \vee (p \wedge q) \vee e \\
 &= d \vee e \vee (e \wedge f) \vee (p \wedge q) \\
 &\quad \text{By modularity, where } e \leq p = e \vee p \\
 &= 1, \text{ since } d \vee e = 1.
 \end{aligned}$$

Similarly, $r \vee w = 1$. Also

$$\begin{aligned}
 r \wedge v &= (d \vee q) \wedge (e \vee q) \wedge p \\
 &= (d \vee (e \wedge f)) \wedge (e \vee (f \wedge d)) \wedge p
 \end{aligned}$$

$$\begin{aligned}
 &= (((e \vee (f \wedge d)) \wedge d) \vee (e \wedge f)) \wedge p \\
 &\quad \text{By modularity } e \wedge f \leq e \vee (f \wedge d) \\
 &= ((d \wedge e) \vee (f \wedge d) \vee (e \wedge f)) \wedge p \\
 &\quad \text{By modularity where } f \wedge d \leq d \\
 &= q \wedge p = q.
 \end{aligned}$$

Similarly, $r \wedge w = q$.

Hence the set $\{q, u, v, w, p, r, 1\}$ with the operations of $\mathbf{L}$ forms a sublattice, say, $\mathbf{B}$ with 1 of $\mathbf{L}$ (see the diagram in Figure 4) which is isomorphic to $\mathcal{N}$.

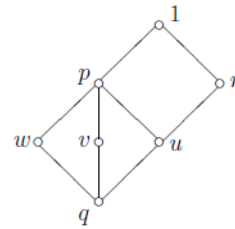


Fig.4.

◆

Let $\mathbf{L}$ be a lattice. A non-empty subset I of L is called an *ideal* of $\mathbf{L}$ if

- (i) $a \in L$ and $b \in I$ with $a \leq b$ implies $a \in I$.
- (ii) $a, b \in I$ implies $a \vee b \in I$.

An ideal I of $\mathbf{L}$ is called a *proper ideal* if $I \neq L$. A *minimal ideal* I of $\mathbf{L}$ is a proper ideal which does not contain any other proper ideal, that is, if there is a proper ideal J of $\mathbf{L}$ such that $J \subseteq I$, then $J = I$. A proper ideal P of $\mathbf{L}$ is called a *prime ideal* if for any $a, b \in L$ such that $a \wedge b \in P$ implies either $a \in P$ or $b \in P$.

Now we have the following Separation Theorem for the element 1.

Theorem 2.3. Let $\mathbf{L}$ be a 1-distributive lattice and I be an ideal of L such that $1 \notin I$. Then there is a prime ideal P of L such that $I \subseteq P$ and $1 \notin P$.

Proof. Let $\mathbf{L}$ be a 1-distributive lattice and let I be an ideal of $\mathbf{L}$ such that $[1] \cap I = \emptyset$. Suppose

$$\mathcal{X} = \{J \mid J \text{ is an ideal of } \mathbf{L} \text{ containing } I \text{ and } [1] \cap J = \emptyset\}$$

Then $\mathcal{X}$ satisfies all the conditions of the Zorn's Lemma. Hence there is a maximal element, say, M of $\mathcal{X}$. Now we show that M is a prime ideal of $\mathbf{L}$. If M is not prime, then there are $a, b \in \mathbf{L} \setminus M$ such that $a \wedge b \in M$. By the maximality of M , we have $1 \in M \vee (a)$ and $1 \in M \vee (b)$. This implies $m \vee a = 1 = m \vee b$ for some $m \in M$. Thus $m \vee (a \wedge b) = 1 \in M$ as $\mathbf{L}$ is 1-distributive, which is a contradiction. Hence M is prime.

3. Normal and Comaximal Lattices

A lattice $\mathbf{L}$ is called a *normal lattice* if every prime ideal of $\mathbf{L}$ contains a unique minimal prime ideal. The pentagonal lattice $\mathcal{P}_5$ (see the diagram in Figure 1) is a normal lattice, because it has only two prime ideals (b) and (c) which are also minimal prime ideals.

Two ideals P and Q of a lattice $\mathbf{L}$ are said to be *comaximal* if $P \vee Q = L$. A lattice $\mathbf{L}$ with 0 is said to be a *comaximal lattice* if any two minimal prime ideals of $\mathbf{L}$ are comaximal. The pentagonal lattice $\mathcal{P}_5$ (see the diagram in Figure 1) is comaximal, because it has only two minimal prime ideals (b) and (c) where $(b) \vee (c) = L$.

Theorem 3.1. Every comaximal lattice is normal.

Proof. Let $\mathbf{L}$ be a comaximal lattice. If $\mathbf{L}$ is not normal, then there is a prime ideal P of $\mathbf{L}$ such that P contains two distinct minimal prime ideals Q and R (say) of $\mathbf{L}$. In this case $Q \vee R \subseteq P \neq L$, which contradicts the fact that $\mathbf{L}$ is comaximal. Thus $\mathbf{L}$ is normal.

The converse of the above theorem not necessarily true. That is, not every normal lattice is a comaximal lattice. For counterexample, if we consider the lattice $\mathbf{L}_1$ given by the following diagram (see Figure 5), then the ideals (a) and (b) are only the prime ideals. This shows that every prime ideal contains a unique minimal prime ideal. Thus, $\mathbf{L}_1$ is normal. But $\mathbf{L}_1$ is not comaximal as $(a) \vee (b) \neq L$. Observe that the lattice $\mathbf{L}_1$ is not 1-distributive as it has a sublattice with 1 isomorphic to the diamond lattice $\mathcal{M}_3$.

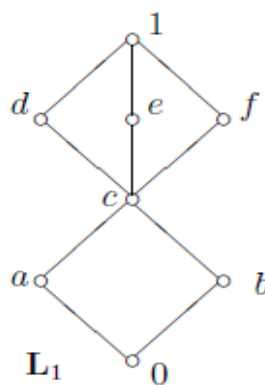


Fig.5. A normal but not comaximal lattice.

Now we have the following characterization of comaximal lattices.

Theorem 3.2. Every 1-distributive normal lattice is a comaximal lattice.

Proof. Let $\mathbf{L}$ be a 1-distributive normal lattice. Suppose $\mathbf{L}$ is not comaximal. Then there are two minimal distinct prime ideals P and Q of $\mathbf{L}$ such that $P \vee Q \neq L$. Thus $1 \notin P \vee Q$. Then by Theorem 2.3, there is a prime ideal M containing $P \vee Q$. This shows that $\mathbf{L}$ is not a normal lattice, a contradiction. Hence $\mathbf{L}$ is a comaximal lattice.

4. References

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