

Optimization of Lignin Removal from Synthesized Wastewater by Iron (III) Trimesate

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ABSTRACT

Box-Behnken design (BBD) was employed to study an optimal treatment method of synthetic lignin wastewater effluent from pulp and paper wastewater treatment plants using iron (III) trimesate (Fe-BTC) as an alternative coagulant aid. Fe-BTC was prepared by hydrothermal technique using ferric chloride hexahydrate ($\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$) combined with 1,3,5-benzenetricarboxylic acid (trimesic acid, H_3BTC) in deionized water and ethanol. X-ray diffractometry and Fourier transform infrared spectroscopy were used to characterize the property of Fe-BTC. Three quadratic models of the four factors including Fe-BTC dosage, pH, initial lignin concentration, and slow mixing time were defined with lignin removal efficiency as a response. Treatment efficiency of lignin removal was 50-80% for experimental data and 60-80% for predicted values. Optimal condition was 1 g/L of Fe-BTC dosage, pH 4, and 50 ppm of initial lignin concentration without slow mixing giving 58.34% lignin removal efficiency. The pH of treated samples was below 3 after all processes. Results showed that Fe-BTC enhanced separation of lignin by coagulation-flocculation. Adsorption-charge neutralization is the main mechanism of this process at acidic pH. Fe-BTC separated the wastewater into three layers with Fe-BTC powder at the bottom, lignin sludge in the middle, and clear supernatant at the top. Lignin sludge can be reused and recycled for use in other applications.

1. INTRODUCTION

Effluent wastewater produced by the pulp and paper (P&P) industry has an adverse environmental impact because it generates large volumes of highly toxic substances. Wastewater treatment plants (WWTPs) use physical, chemical, and biological processes to treat organic/inorganic compounds, acidic resins, heavy metals, suspended solids, surfactant/detergent agents and other chemicals (Kamali and Khodaparast, 2015; Lindholm-Lehto et al., 2015). However, treated effluents from WWTPs still contain high color pollution resulting from lignin and lignin derived substances. These pollutants block sunlight transmission into the water

which affects photosynthesis and decreases dissolved oxygen. Degradation of lignin by biological treatment is difficult and may cause transformation into toxic substances as wastewater from cooking and bleaching processes contains lignin and chlorine (Ashrafi et al., 2015; Haq et al., 2017). Hence, removal of the lignin fraction is an important issue. Lignin can be recovered and recycled as an adsorbent, flocculating agent and fuel (Naseem et al., 2016; Roopan, 2017).

Generally, P&P wastewater treatment plants include physicochemical and biological processes to remove solids, suspended solids, colloids and dispersions. In a filtration system, membrane fouling

results from lignin (Zahrim et al., 2015). However, the remaining pollutants may require tertiary treatment. Sludge or secondary waste generated via each treatment process is managed by combustion in an incinerator or disposed of as landfill. Therefore, lignin and lignin fractions become unrecyclable waste (Ali and Sreekrishnan, 2001; Kamali and Khodaparast, 2015; Lindholm-Lehto et al., 2015). Typically, a coagulation-flocculation process is employed by wastewater treatment plants to remove pollutants such as organic/inorganic matter, color, and microorganisms because of its simple operation, low cost, and high efficiency which relies on coagulant-flocculant properties for particle destabilization and agglomeration into larger flocs that can be settled by gravity (Sun et al., 2017).

Conventional aluminum-based and iron-based coagulants and organic-based flocculants are commonly employed (Duan and Gregory, 2003; Sillanpää et al., 2018). Moreover, previous studies reported that iron-based treatment removed dissolved organic carbon (DOC) more efficiently than an aluminum-based approach (Zhan et al., 2010). For this reason, several materials have been developed and improved for economical high-performance removal of pollutants (Jiang, 2015; Folens et al., 2017). To treat wastewater from the P&P industry, alum, polyaluminum chloride (PAC), aluminum chloride, starch-g-PDMC, and chitosan, have all been applied (Renault et al., 2009; Lee et al., 2014; Kamali and Khodaparast, 2015; Lindholm-Lehto et al., 2015). However, sludge generated from these processes becomes a secondary waste that requires proper disposal treatment before dumping.

Recently, many new hybrid materials have been developed to enhance coagulation-flocculation processes. Application of these hybrid materials in the wastewater treatment process has greatly reduced operation time for processing large volumes of discharged wastewater (Lee et al., 2012). Iron (III) trimesate (Fe-BTC) is a well-known hybrid material as a metal-organic framework (MOF) used to remove lignin by the coagulation-flocculation method. This material consists of iron ion/clusters and trimesic acid formed by a hydrothermal technique. Many properties of Fe-BTC include high biocompatibility, stability, large surface area, and strong Lewis acid catalytic activity (Dhakshinamoorthy et al., 2012; Zhu et al., 2012).

Response surface methodology (RSM) is a mathematical statistical method used to calculate the desired response to achieve the optimal condition. To design experiments and investigate the influence of factors, Box-Behnken design (BBD) was selected (Archariyapanyakul et al., 2017; Bezerra et al., 2008; Kim, 2016; Daud et al., 2018; Khamdahsag el al., 2017; Kiattisaksiri et al., 2015). BBD has been used for optimization of acid-catalyzed transesterification biodiesel wastewater pre-treatment (Daud et al., 2018), clove oil development based nanoemulsion of olmesartan for transdermal delivery (Aqil et al., 2016), color and chemical oxygen demand (COD) removal optimization from livestock wastewater (Tak et al., 2015), and arsenic removal by aluminum sulfate (Bilici Baskan and Pala, 2010). Therefore, BBD could be used to evaluate the effect of influential factors and determine optimal condition to maximize the response variables. Here, the influential factors of Fe-BTC dosage, pH, initial lignin concentration and slow mixing time were determined, and the efficiency of lignin removal was investigated as the dependent output variable.

2. METHODOLOGY

2.1 Materials

All chemical reagents used for the preparation of coagulants were analytical grade including ferric chloride hexahydrate ($\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$) (QRëC, New Zealand), trimesic acid (H_3BTC) (Sigma Aldrich, Singapore), alkaline lignin (TCI, America), nitric acid (HNO_3), sodium hydroxide (NaOH) (RCI Labscan), ethanol ($\text{C}_2\text{H}_5\text{OH}$) (RCI Labscan), deionized (DI) water, and reverse osmosis (RO) water.

Fe-BTC was synthesized by a hydrothermal technique adapted from previous reports (Zhu et al., 2012; Phanhasri et al., 2018). An amount of 1 mmol FeCl_3 was dissolved in 25 mL DI water, then 1 mmol of H_3BTC in 25 mL ethanol was added and the mixture was stirred for 30 min. The solution was placed in a Teflon-lined stainless steel autoclave reactor and heated for 48 h in an oven at 100°C . The solution was then cooled to ambient temperature and separated by centrifuging. The material was dried in a furnace oven at 100°C overnight. Orange powder of Fe-BTC was obtained and stored in a desiccator.

2.2 Materials characterization

Crystallinity of Fe-BTC was determined using an X-ray diffractometer (XRD) (D8 Discover,

Bruker AXS) with a Cu K α radiation ($\lambda=0.1514$ nm) at 40 mA and 40 kV. The scan range was 5-70° with an increment of 0.02 °/step, and scan speed of 0.1 sec/step at 298 K. Spectra adsorption of Fe-BTC was obtained using a Fourier-transform infrared (FT-IR) spectrometer (8601 PC, Shimadzu), recorded with KBr pellets and then analyzed over a wavenumber range of 4,000 to 450 cm⁻¹. Percentage yield was calculated between actual mass value versus theoretical value.

2.3 Coagulation-flocculation test

Coagulation-flocculation experiments were performed using a jar test (Phipps and Bird) apparatus equipped with six paddle stirrers at room temperature (25±1 °C). Synthetic lignin wastewater was prepared by dissolving lignin solid in RO water. The pH of wastewater sample was adjusted by adding HNO₃ or NaOH. Fe-BTC were mixed in 500 mL of synthetic lignin for 1.50 min at 200 rpm, then settled for 30 min. Supernatants were withdrawn 2 cm under the surface and filtered through 0.45 μ m syringe filters (FilTrex, diameter 13 cm). Effects of environmental factors including dosage, pH, initial lignin concentration, and slow mixing time were investigated to determine the optimal condition using statistical design. After settling, the supernatant was withdrawn from the beaker. Lignin residual was quantified by UV absorbance of the sample at $\lambda=280$ nm (Andersson et al., 2011), measured with a UV-vis spectrophotometer (DR 6000TM, HACH). Lignin removal efficiency was calculated using Equation 1.

$$\text{Lignin Removal Efficiency (\%)} = \frac{L_i - L_f}{L_i} \times 100 \quad (1)$$

where L_i is the initial absorbance and L_f is the final absorbance.

2.4 Experimental design

Box-Behnken design (BBD) (Ferreira et al., 2007; Bezerra et al., 2008) presents a three-level factorial selection which assists in estimating the first and second coefficients of mathematical models. The number of experiments (N) is defined as $N = 2k(k-1) + C_p$, (where k is the number of factors and C_p is the number of central points). Factor levels adjusted at low, middle, and high for each variable were designated as -, 0, and +, respectively.

BBD was employed to optimize lignin removal efficiency, with variation in Fe-BTC dosage

(X_1), pH (X_2), initial lignin concentration (X_3), and slow mixing time (X_4). Experimental conditions were designed (Table 1) and data were analyzed by response surface regression (RSREG) using Minitab16 software to fit the following second order polynomial (Equation 2):

$$Y = \beta_0 + \sum_{i=1}^k \beta_i X_i + \sum_{j=1}^k \beta_{ii} X_i^2 + \sum_{i=1}^k \sum_{j=1}^k \beta_{ij} X_i X_j + \varepsilon \quad (2)$$

where, Y is the response (removal efficiencies, %); β_0 , β_i ($i=1, 2, 3, 4$) and β_{ij} ($i=1, 2, 3, 4$; $j=1, 2, 3, 4$) are the model coefficients and X_i and X_j are the coded independent variables.

Table 1. Coded factor levels for a BBD of a four independence variable

Run No.	X_1	X_2	X_3	X_4
1	+	0	0	-
2	0	-	0	+
3	0	+	0	-
4	0	0	0	0
5	-	0	+	0
6	+	-	0	0
7	0	0	-	+
8	0	0	+	+
9	-	0	0	-
10	+	0	+	0
11	-	0	0	+
12	-	-	0	0
13	0	0	+	-
14	+	+	0	0
15	0	+	+	0
16	0	+	0	+
17	+	0	-	0
18	0	0	0	0
19	0	-	+	0
20	0	-	-	0
21	-	+	0	0
22	-	0	-	0
23	0	-	0	-
24	0	+	-	0
25	0	0	-	-
26	0	0	0	0
27	+	0	0	+

To ensure equation model fitting, two experiments were conducted under chosen conditions compared with predicted values from the fitting models (Equations 1 and 2). A p-value (probability) with 95% confidence level was employed to estimate model terms.

3. RESULTS AND DISCUSSION

3.1 Material characterization

3.1.1 Crystallinity of Fe-BTC

The XRD patterns of Fe-BTC revealed poor crystallinity of Fe-BTC (Figure 1). Low-density diffraction peaks indicated semi-amorphous small sized crystals and disarrayed structure (Autie-Castro et al., 2015; Yang et al., 2016). Highest intensity was indicated by 2θ at 10.8° (Yang et al., 2016).

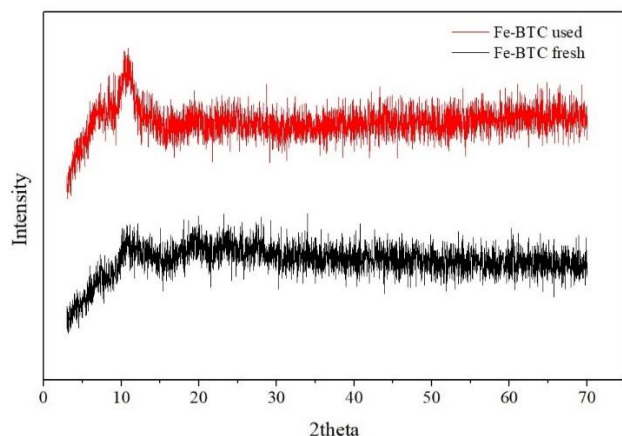


Figure 1. X-ray diffraction pattern of Fe-BTC.

3.1.2 Fourier transform infrared (FT-IR) spectra

Figure 2 compares FT-IR spectra between fresh and used Fe-BTC. Results found by Hu et al. (2016), Li et al. (2016), Yang et al. (2016), Huang et al. (2017), and Mahmoodi et al. (2018) were comparable to spectra adsorption bands of Fe-BTC found here. Presence of the carboxylate functional group showed infrared absorption bands from 1,300 to 1,700 cm^{-1} , and the aromatic benzene ring in bands below 1,300 cm^{-1} . Bands from 3,300 to 3,650 cm^{-1} revealed the presence of water molecules. The peak of O-H bond showed at 3,400 and 1,449 cm^{-1} ; C=O bond at 1,715 and 1,625 cm^{-1} ; C-O bond at 1,390, 1,220, and 1,050 cm^{-1} ; C-H bond at 759 and 711 cm^{-1} , and Fe-O bond at 484. These results revealed no new peak observations.

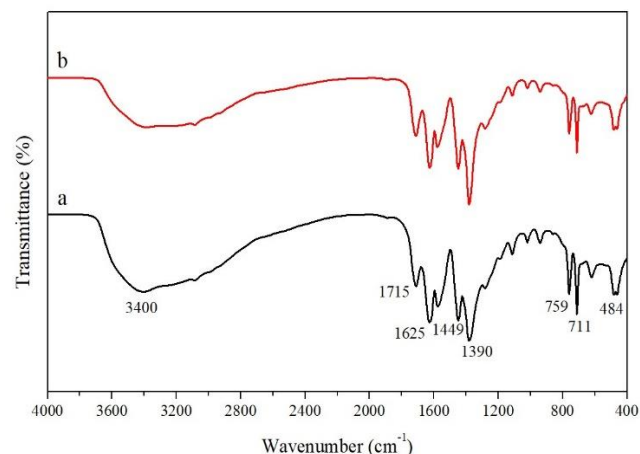


Figure 2. FT-IR spectra of Fe-BTC as (a) fresh and (b) used.

3.1.3 Percentage of Fe-BTC as synthesized

Fe-BTC was synthesized via the hydrothermal method by FeCl_3 combined with H_3BTC as shown in the following chemical reaction,



With balancing, the reaction ratio was 1 mol of FeCl_3 /1 mol of H_3BTC producing 1 mol of Fe-BTC. Actual mass obtained from the experiment was 0.10-0.12 g and theoretical mass obtained from stoichiometry was 0.26 g, thus %yield of product was 38.46-46.15%.

3.2 Statistical analysis

3.2.1 BBD model fitting

The experiment was tested under various conditions as follows; Fe-BTC dosage ranged from 1-3 g/L, initial pH ranged from 4-10, initial lignin concentration ranged from 50-150 ppm and slow mixing time at 40 rpm ranged from 0-40 min. Uncoded and coded independent variables and the experimental design matrix obtained from BBD are shown in Table 2 and Table 3, respectively.

Table 2. Parameters and levels used in the BBD for lignin removal using Fe-BTC in coagulation-flocculation process.

Parameters	Symbol	Levels of parameters		
		-1	0	+1
Fe-BTC dosages (g/L)	X_1	1.0	2.0	3.0
pH	X_2	4.0	7.0	10.0
Initial lignin concentration (mg/L)	X_3	50	100	150
Slow mixing time at 40 rpm (min)	X_4	0	20	40

Lignin removal and color removal predicted values were obtained by quadratic model fitting techniques (Equation 2) using Minitab16 software. Equation 4 presents coefficients determined from response plotting as a function of independent variables;

$$Y = 74.2815 - 10.6566X_1 - 1.3909X_2 - 0.0944X_3 + 1.0979X_4 + 5.0638X_1^2 + 0.1927X_2^2 + 0.0021X_3^2 - 0.0257X_4^2 - 0.2594X_1X_2 - 0.1037X_1X_3 - 0.2593X_1X_4 - 0.0105X_2X_3 + 0.0036X_2X_4 + 0.0072X_3X_4 \quad (4)$$

where Y is the percentage of lignin removal, X_1 is the quantity of Fe-BTC added (g), X_2 is the pH, X_3 is the initial concentration of lignin (ppm), and X_4 is slow mixing time (min). Coefficients prior to X_1 , X_2 , X_3 and X_4 represent the linear effects of the main factors. Coefficients prior to X_1X_2 , X_1X_3 , X_1X_4 , X_2X_3 , X_2X_4 , X_3X_4 and X_1^2 , X_2^2 , X_3^2 , X_4^2 represent interaction between two factors and the quadratic effects, respectively. A positive sign in front of each

term indicates a synergistic effect while a negative sign indicates an antagonistic effect.

In Table 4, normalized standard deviation (S.D.) was applied to compare the most applicable model that could describe the kinetic study of adsorption obtained using the following equation (Luekittisup et al., 2015):

$$S.D. (\%) = 100 \times \left\{ \sum \frac{[(Y_e - Y_p)/Y_e]^2}{N-1} \right\}^{1/2} \quad (5)$$

where Y_e is the experimental values, Y_p is the predicted values and N is number of data points.

Model predictability achieved greater than 95% confidence level based on initial lignin concentration (p-value=0.049). Results show predicted values obtained from Equation 3. Therefore, the most influential factor affecting lignin removal was initial lignin concentration (X_3) as indicated by p-values (Table 3). On the other hand, quantity of Fe-BTC (X_1) pH (X_2) and slow mixing time (X_4) had no significant effect (p-values>0.05).

Table 3. Estimated regression coefficients of removal efficiency (%)

Term	Lignin removal	
	Coefficient	P value
Constant	74.2815	0.000
Dosages (g/L)	-10.6566	0.085
pH	-1.3909	0.896
[Lignin] (ppm)	-0.0944	0.049
Slow mixing time (min)	1.0979	0.181
Dosages (g/L) × Dosages (g/L)	5.0638	0.431
pH × pH	0.1927	0.785
[Lignin] (ppm) × [Lignin] (ppm)	0.0021	0.422
Slow mixing time (min) × Slow mixing time (min)	-0.0257	0.125
Dosages (g/L) × pH	-0.2594	0.915
Dosages (g/L) × [Lignin] (ppm)	-0.1037	0.484
Dosages (g/L) × Slow mixing time (min)	-0.2593	0.484
pH × [Lignin] (ppm)	-0.0105	0.831
pH × Slow mixing time (min)	0.0036	0.976
[Lignin] (ppm) × Slow mixing time (min)	0.0072	0.338

3.2.2 Effect of independent variables on lignin removal efficiency (Y)

The effect of the four parameters as Fe-BTC dosage, pH, initial lignin concentration, and slow mixing time on percentage of lignin removal is shown in Figure 3. Figure 3(a) shows that lignin

removal efficiency decreased dramatically with Fe-BTC dosage from 1 to 2 g/L and then decreased gradually with 3 g/L. Lignin removal efficiency increased slightly from 50 to 100 ppm initial lignin concentration and then sharply increased to 150 ppm. Impact of slow mixing time to removal

Table 4. Experimental design matrix and lignin removal from the experimental data compared to the predicted values obtained from BBD

Run No.	X ₁ (g/L)	X ₂	X ₃ (ppm)	X ₄ (min)	Removal efficiency (%)	
					Lignin	
					Experimental	Predicted
1	3	7	100	0	56.04	54.95
2	2	4	100	40	79.42	66.33
3	2	10	100	0	46.13	53.44
4	2	7	100	20	71.94	68.64
5	1	7	150	20	85.37	100.93
6	3	4	100	20	55.50	68.99
7	2	7	50	40	27.75	53.16
8	2	7	150	40	83.69	85.70
9	1	7	100	0	64.65	60.13
10	3	7	150	20	78.43	75.01
11	1	7	100	40	87.08	82.28
12	1	4	100	20	85.04	82.99
13	2	7	150	0	73.32	59.57
14	3	10	100	20	52.62	66.33
15	2	10	150	20	85.27	82.51
16	2	10	100	40	73.84	65.66
17	3	7	50	20	88.53	67.19
18	2	7	100	20	66.84	68.64
19	2	4	150	20	84.39	86.76
20	2	4	50	20	68.56	65.43
21	1	10	100	20	85.27	83.43
22	1	7	50	20	74.72	72.36
23	2	4	100	0	52.59	54.98
24	2	10	50	20	75.71	67.46
25	2	7	50	0	46.06	55.72
26	2	7	100	20	67.13	68.64
27	3	7	100	40	57.72	56.36
S.D. (%)					21.80	

efficiency increased from 0 to 20 min and then reduced between 20 and 40 min. Results revealed that the floc was broken down in the long-term due to the force of mixing (Zhang et al., 2013; Jiang, 2015). Meanwhile, effect of pH wastewater values was not significant. Percentage of lignin removal decreased considerably as the Fe-BTC dosage decreased. By contrast, percentage of lignin removal increased as initial lignin concentration increased. The contour plot in Figure 3b reveals that maximum lignin removal was greater than 80% at the optimal condition of Fe-BTC dosage at 2.0-3.0 g/L, pH 4.0-

5.0 and 9.0-10.0, initial lignin concentration of 50-75 and 125-150 ppm, and slow mixing time of 15-25 and 35-40 min.

3.2.3 Equation fitting model test

Two runs of additional experiments were tested to confirm the equation fitting model. Table 5 depicts removal efficiency of lignin as a function of the chosen conditions for dosage of Fe-BTC, initial pH, initial lignin concentration, and slow mixing time. These two trials gave results close to the estimation, proving fitting model reliability.

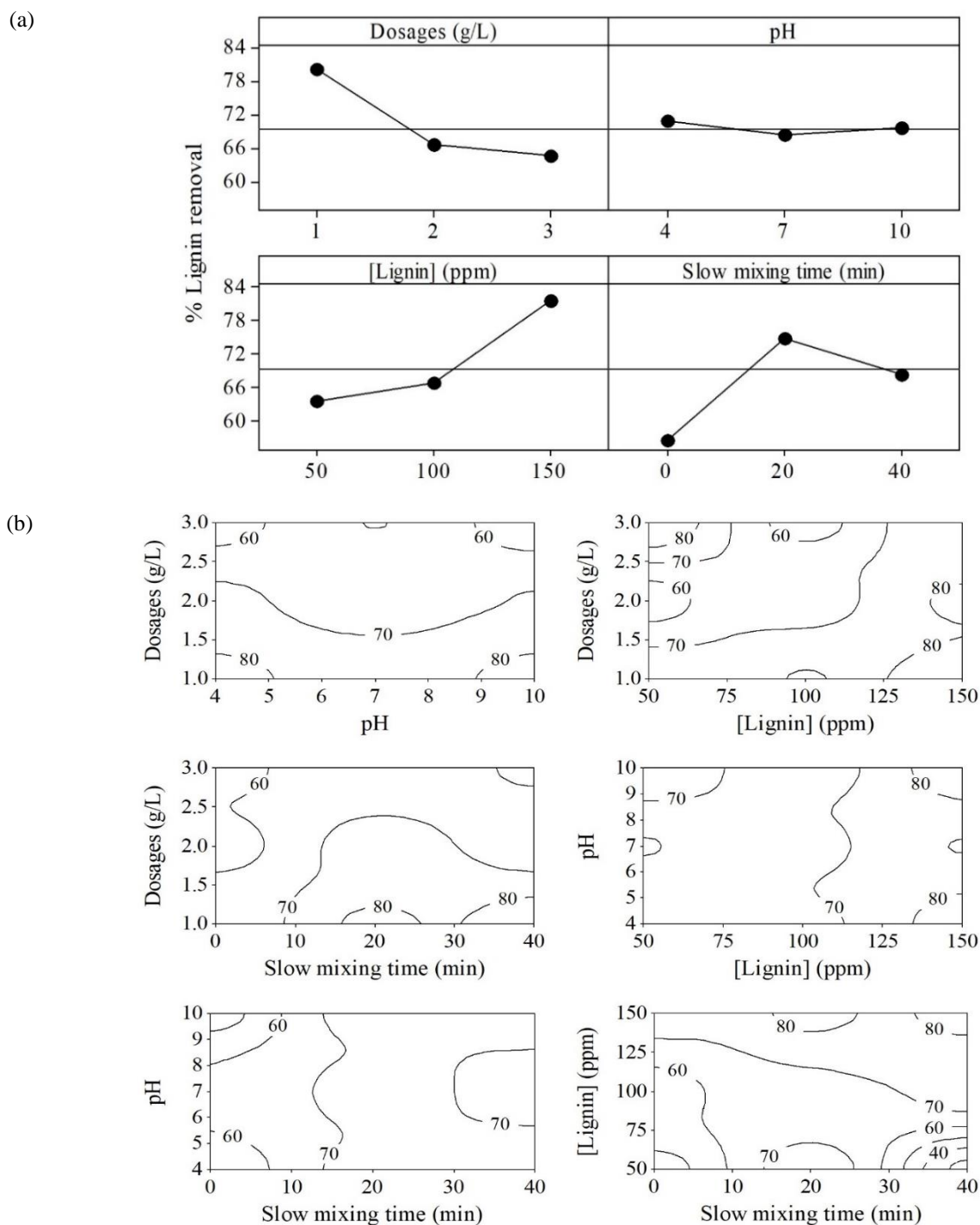


Figure 3. Variable effect on lignin removal efficiency (%) by Fe-BTC in uncoded value: (a) main effect plot and (b) contour plot.

Table 5. Confirmation experiments

Conditions	X ₁ (g/L)	X ₂	X ₃ (ppm)	X ₄ (min)	Removal efficiency (%)	
					Lignin	
					Experimental	Predicted
1	2	6	150	30	70.76	87.73
2	3	8	100	10	63.18	62.72

Coagulation-flocculation test results indicated that Fe-BTC usage to remove lignin revealed three layers as Fe-BTC powder at the bottom, lignin sludge in the middle, and clear supernatant at the top because of its high stability and biocompatibility

(Dhakshinamoorthy et al., 2012; Zhu et al., 2012). Furthermore, pH of treated samples was below 3 in all experiments performed since Fe-BTC has Lewis-acid catalyst properties (Majano et al., 2013).

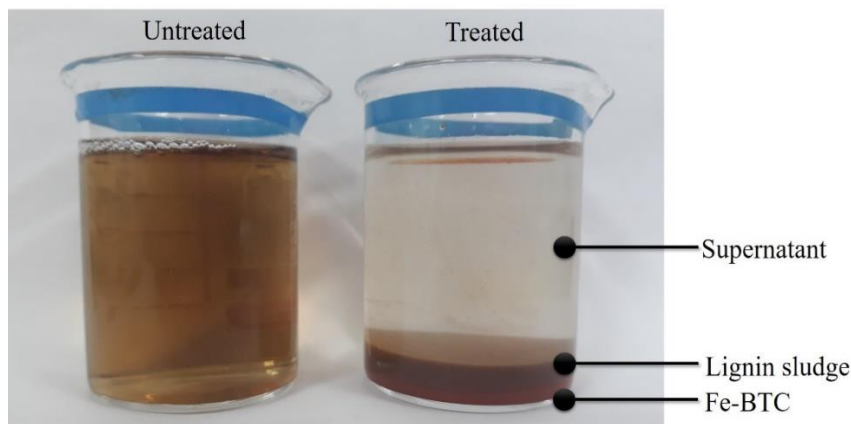


Figure 4. Wastewater separation into three layers: Fe-BTC powder at the bottom, lignin sludge in the middle and clear supernatant at the top.

Therefore, adsorption-charge neutralization mechanisms could play a major role (Zhang et al., 2017). Moreover, lignin sludge can be separated and recycled as a bio-adsorbent (Ge and Li, 2018), natural bio-polymer (Roopan, 2017), and component in plastics (Kun and Pukánszky, 2017).

4. CONCLUSIONS

BBD can optimize conditions of lignin removal using Fe-BTC as a coagulant to treat P&P effluent wastewater. Results suggested that Fe-BTC can be used as an alternative coagulant-flocculant to separate lignin from P&P effluent wastewater. Four influential parameters as Fe-BTC dosage (g/L), pH, initial lignin concentration (ppm) and slow mixing time (time) were tested. Findings revealed that the most influential factor affecting lignin removal was initial lignin concentration (p -values > 0.05). To confirm the equation fitting model, two runs of additional experiments were operated. Results confirmed the data predicted by the model. These experiments demonstrated that the developed models were reliable. Additionally, the pH of treated samples was below 3 in all experiments performed, therefore, adsorption-charge neutralization mechanisms could play a major role.

The principle of coagulation-flocculation generally involves rapid mixing using coagulants to destabilize the colloids and slow mixing using flocculants to aggregate small particles into large flocs in the sedimentation tank. Using Fe-BTC as a coagulant aid could combine these steps into one mixing process and reduce chemicals, time, cost and ease of operation. Moreover, lignin sludge can be separated and recycled as a bio-adsorbent, natural bio-polymer, and component in plastics. Future research is required to investigate more effective pilot-scale testing to treat effluents resulting from P&P industrial wastewater. Sludge recycling studies are also necessary to improve ecological disposal methods for commercial material (Basolite® F300).

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