



## A Microstructural Investigation of PMMA/SAM TiO<sub>2</sub> Dielectric thin Films for OFETs

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### ABSTRACT

Poly (methyl methacrylate) (PMMA) and TiO<sub>2</sub> were used as dielectric materials of OFETs for improved dielectric constants and better interfaces between the dielectric layers and organic semiconductor films. In this study, PMMA/TiO<sub>2</sub> layers were fabricated using self-assembled monolayer (SAM) TiO<sub>2</sub> films that were inserted between the PMMA film layers. Dielectric constants of the PMMA/TiO<sub>2</sub> layers were studied from capacitance-frequency characteristics of metal/insulator/metal structures. The dielectric constant was found to increase with an increased amount of TiO<sub>2</sub>. The dielectric constant at a frequency at 1k was 15.7, which was approximately 4 times higher than that of bare PMMA. However, too high amount of SAM TiO<sub>2</sub> resulted in decreased dielectric constant due to leakage current.

### INTRODUCTION

Organic field effect transistors (OFETs) were originally developed by the research group of L. Torsi [1]. OFETs require much less capital investment to manufacture than conventional inorganic Si field effect transistors (FETs). However, performance of the OFETs still needs to be improved. Currently, the amount of bias voltage required for OFETs to operate remains high compared to that required for the Si FETs. According to the structure and working principle of the FETs, charge accumulation in the semiconductor film layers relies on the induction of electric field between gate and source electrodes through an insulating layer in the middle between the gate electrode and the semiconductor layer. Such charge build-up creates a channel between the drain and the source electrodes that is directly proportional to the source to drain current ( $I_{DS}$ ) flowing between them. A large amount of charge accumulation results in a higher  $I_{DS}$  flowing between the drain and source electrodes. Therefore, one of the key factors for the FETs to achieve high  $I_{DS}$  current in low source to gate voltage ( $V_{GS}$ ) inductance is the high dielectric constant of the insulating layer. The relationship between charge accumulation ( $N_c$ ) and dielectric constant ( $k\epsilon_0$ ) is shown in Equation 1 [2].

$$N_c = \frac{k\epsilon_0}{ed} V_{GS} \quad (1)$$

Where  $d$  and  $e$  are thickness of the gate insulator and the charges of an electron, respectively. At present, most substances with high dielectric constants are metal oxides such as TiO<sub>2</sub>, SiO<sub>2</sub>, etc. Using these materials in OFETs reveals a lot of problems at the interfaces between the organic semiconductors and the metal oxides. In particular, the microscopic surface roughnesses of the materials cause electronic interface trapping

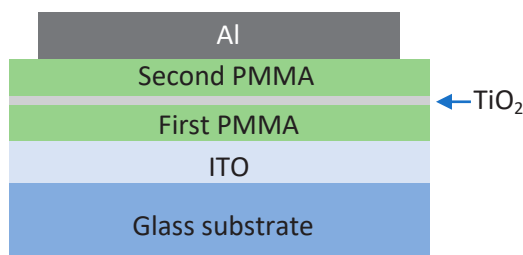
at the interfaces. While the use of organic materials as an insulating layer of the OFET is less of a problem, the dielectric constants of organic insulators are too low and result in poor device performance [3]. Combining organic and inorganic insulating materials together has been extensively studied for their combined benefits. Chen et al. mixed TiO<sub>2</sub> nanoparticles into poly-4-vinylphenol (PVP) as a solution and formed dielectric layer thin films for OFETs [4-5]. Majewski et al. fabricated OFETs using a double-layered anodized TiO<sub>2</sub> and poly ( $\alpha$ -methylstyrene) (PAMS) as the dielectric layer, with PAMS film in contact with the organic semiconductor layer [6]. Yang et al. fabricated OFETs using a double-layered TiO<sub>2</sub>-oleic and PVP as the dielectric layer, with PVP film in contact with the organic semiconductor layer [7,8]. All of them that the efficiency and threshold voltage increased to maximum values and, then, decreased.

In this study, a triple-layered dielectric structure is fabricated with a TiO<sub>2</sub> film layer inserted between the Poly (methyl methacrylate) (PMMA) film layer. Self-assembled monolayer (SAM) technique was used to create the TiO<sub>2</sub> films. The films microstructure was optimized in order to achieve the optimum dielectric constant. The dielectric constants were studied via the capacitance-frequency characteristics of the dielectric layers in the metal-insulator-metal (MIM) structure.

### METHODOLOGY

PMMA and titanium dioxide (TiO<sub>2</sub>) nanoparticles were purchased from Sigma-Aldrich. MIM devices were fabricated on indium tin oxide (ITO) coated glass substrate (commercial grade) as bottom contacts.

60 mg/ml PMMA solution was dissolved in anisole and fabricated on the ITO substrate by spin coating using 5,500 rpm for 2 minutes in a glovebox. Samples were dried at 75° C overnight in the glovebox. The SAM-TiO<sub>2</sub> nanoparticle films were fabricated by soaking in TiO<sub>2</sub> nanoparticle solution prepared by suspend in deionized water. In the same way of the first layer, the second PMMA films were fabricated on the TiO<sub>2</sub> film. Then, 14x8 mm<sup>2</sup> top contact Aluminum film was deposited by thermal evaporation. These device structures are showed in Figure 1.



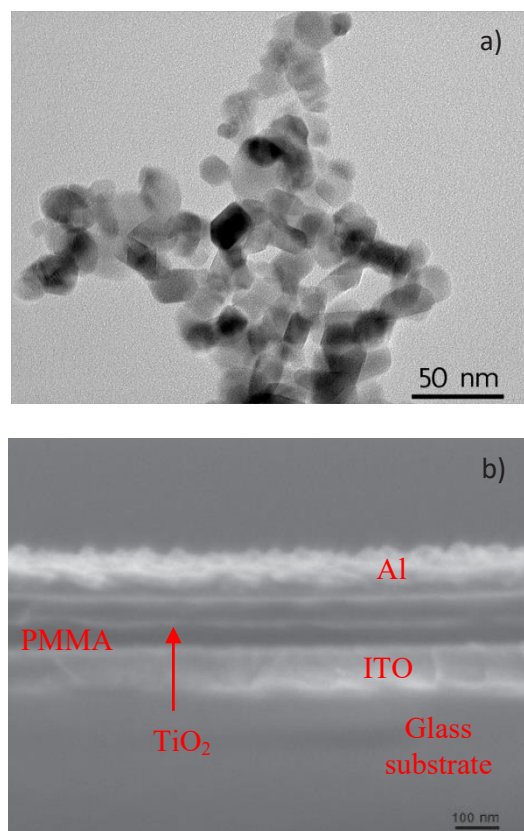
**Figure 1.** MIM device structure employed in this study.

The crystalline formation of the SAM-TiO<sub>2</sub> in PMMA films was investigated via x-ray diffraction (XRD) (Rigaku, TTRAX III). The film thicknesses were measured by Dektak3030 profilometer. Capacitance versus frequency plots were obtained from an impedance analyzer (Agilent 4294a) with a fixed 10-mV AC voltage. The film surface morphology and roughness were investigated by atomic force microscopy (AFM) (SEIKO Instruments, SPA400). Transmission electron microscope (JEOL, JEM-2020) and scanning electron microscope (JEOL, JSM-7800F (Prime)) were used to study morphologies of the TiO<sub>2</sub> nanoparticles and interface cross-sections, respectively.

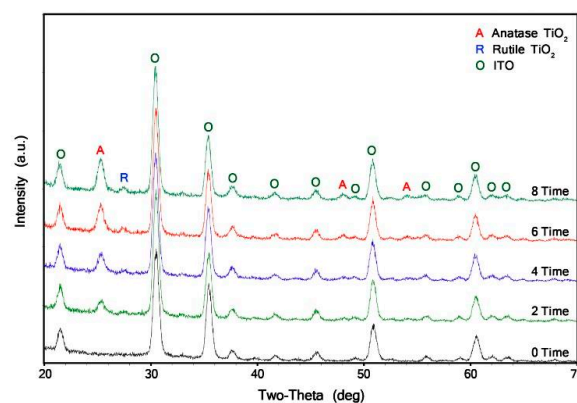
## RESULTS AND DISCUSSION

Figure 2 a) shows morphology of the TiO<sub>2</sub> nanoparticles prior to the preparation in deionized water that were analyzed by Transmission Electron Microscopy (TEM). Their shape is ellipsoidal with a diameter of approximately 25x20 nm. Figure 2b) shows a scanning electron microscope (SEM) cross section of a MIM device with the TiO<sub>2</sub> film between the PMMA films. From these two images, the size of TiO<sub>2</sub> in Figure 2b is found to be much smaller than the size of TiO<sub>2</sub> in Figure 2a. Based on the understanding of the SEM process, the different sizes of TiO<sub>2</sub> are due to the low stability of the PMMA film. When an electron beam is emitted to the PMMA film for a long time, it will undergo expansion and flow to obscure the TiO<sub>2</sub> film layer. This caused the size of the TiO<sub>2</sub> film shown in Figure 2b) to be distorted. To confirm the existence of the TiO<sub>2</sub> film, ITO/PMMA/TiO<sub>2</sub>/PMMA samples and ITO/PMMA/PMMA samples were analyzed with x-ray diffraction (XRD). From Figure 2, XRD results show differences in x-ray reflectance peak quantities of samples with and without the TiO<sub>2</sub> films. The ITO/PMMA/TiO<sub>2</sub>/PMMA peaks indicate both anatase phase and rutile phase of TiO<sub>2</sub> films in two theta angles at 25 and 28 degrees, respectively. These peaks were not found in the ITO/PMMA/PMMA samples. Therefore, this experiment demonstrated that the coating of TiO<sub>2</sub> films by SAM method on PMMA films produced crystalline TiO<sub>2</sub> films. In addition, the peak intensity increased with increased soaking cycles in TiO<sub>2</sub> solution of the SAM fabrication indicating increased crystallinity.

In all results in Figure 4 a) and b), there was a slight decrease in capacitance at low frequencies and a sharp decrease in capacitance until it disappeared at frequencies of around 105 Hz. The reduced capacitance at different frequencies is caused by polarized domains in the insulating layer that cannot move or reposit as the electric field changes with the frequency applied. The reduced capacitance at different

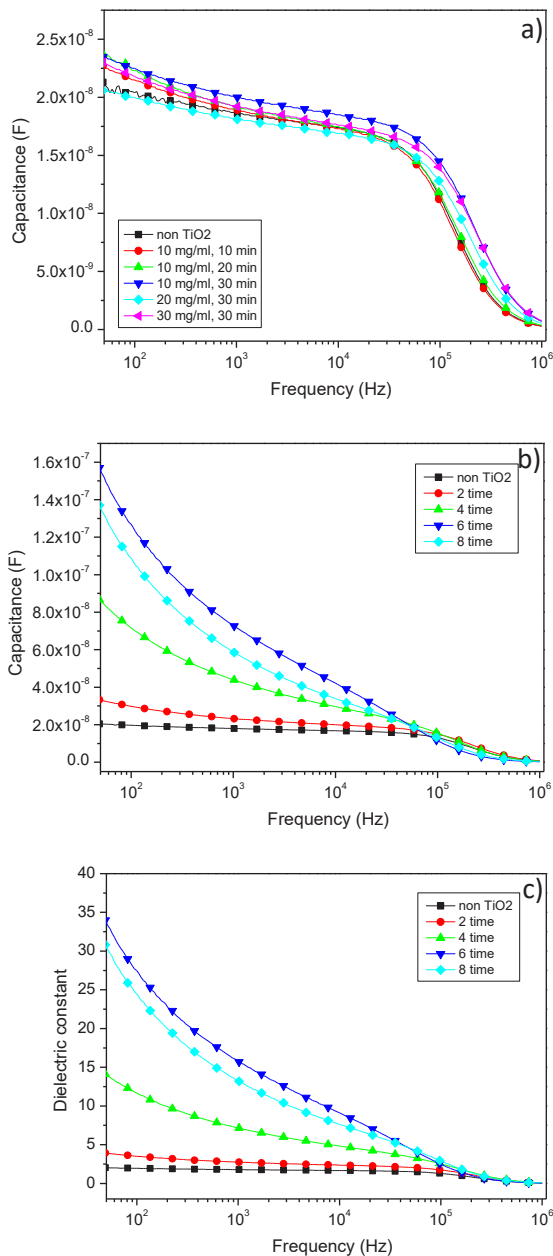


**Figure 2.** a) A TEM micrograph of TiO<sub>2</sub> nanoparticles and b) a cross-section SEM micrograph (SEM) of ITO/PMMA/TiO<sub>2</sub>/PMMA/Al structure on a glass substrate.



**Figure 3.** XRD pattern of anatase TiO<sub>2</sub> films on ITO glass substrate/PMMA/TiO<sub>2</sub>/PMMA structure with different soaking cycles in TiO<sub>2</sub> solution for SAM fabrication.

frequencies indicates the different polarizing properties of the insulation. From these figure, the initial decrease in capacitance is due to the disappearance of interfacial polarization. This type of polarization is caused by the movement of carrier charges at the grain boundaries within the dielectric substance and the charges accumulated in the defects of the dielectric interfaces with other materials. This decrease in capacitance is due to the inability of the charges to move to the edge of grain boundaries and the contact defects in response to changes of the electric field at higher frequencies applied to the samples. This causes the arrangement of the accumulated charges in the direction of



**Figure 4.** The C-f characteristics of the ITO/PMMA/TiO<sub>2</sub>/PMMA/Al device with a) varying concentration and incubation time of SAM TiO<sub>2</sub> onto the structure and b) varying soaking cycles in TiO<sub>2</sub> solution for SAM TiO<sub>2</sub> fabrication and c)  $\epsilon_r$ -f characteristics obtained from different soaking cycles in TiO<sub>2</sub> solution for SAM TiO<sub>2</sub> fabrication.

the electric field to decrease, resulting in a decrease in the capacitance value as well [9-11]. The decrease in capacitance at a frequency of about 104 -106 Hz was a reduction from the deterioration of the orientational polarization or dipolar polarization. The absence of this type of polarization is due to the permanently charged dielectric molecules cannot rearrange according to the change in the frequency of the electric field applied. This phenomenon causes a decrease in the charge resulting in a decrease in the capacitance [12]. From the experiment of varying concentration and incubation time of SAM TiO<sub>2</sub> onto the structure in Figure 4a, it was found that the change in capacitance of all samples

was unclear. These show that the fabrication process cannot change the amount of TiO<sub>2</sub> on the PMMA film. Additionally, this fabrication process was unable to make the TiO<sub>2</sub> content on the PMMA film sufficient to affect the capacitance changes in the MIM devices. The amount of TiO<sub>2</sub> on PMMA film did not increase with the varying concentration and incubation time of SAM TiO<sub>2</sub> which was probably due to property of this technique. This technique was based on different zeta potentials of TiO<sub>2</sub> nanoparticles [13] and PMMA films [14]. Although there are many titanium particles on the PMMA film from different concentrations and incubation times of SAM TiO<sub>2</sub>, only one layer of titanium particles can be bonded to the PMMA film. Figure 4 b) shows the C-f characteristics of MIM samples modified by different soaking cycles in the TiO<sub>2</sub> solution at a concentration of 10 mg/ml at incubation time for 30 min. It was found that the capacitance was higher with higher soaking cycles in the TiO<sub>2</sub> solution. A relative dielectric constant ( $\epsilon_r$ ) that was showed in Figure 4 c) can be calculated from the capacitance values in Figure 4 b) and the thickness values of PMMA/TiO<sub>2</sub>/PMMA film (see Table 1) by equation 2.

$$\epsilon_r = \frac{C_i}{C_0} = \frac{\epsilon_r \epsilon_0 A}{d C_0} \quad (2)$$

Where  $C_0$  is the capacitance measured with vacuum between its plates,  $C_i$  is the insulator capacitance,  $\epsilon_0$  is the vacuum dielectric constant,  $A$  is the area of the capacitor and  $d$  is the distance between plates

From previous studies on  $\epsilon_r$  of TiO<sub>2</sub>, it was found that the  $\epsilon_r$ -f characteristics at the low-frequency voltage range was highly related with the film characteristics of TiO<sub>2</sub>. The  $\epsilon_r$ -f characteristics for the high density TiO<sub>2</sub> films exhibited a constant or slight decrease in  $\epsilon_r$  values for the low-frequency voltage range [15-16]. Whereas in samples with low density TiO<sub>2</sub> films exhibited consistent reductions in  $\epsilon_r$  values at the low-frequency voltage range [17-18]. These results are related to the theory described in the reduction of  $\epsilon_r$  due to interfacial polarization losses at low-frequency voltage ranges. Because in low density TiO<sub>2</sub> films tend to create many grain gaps within the TiO<sub>2</sub> films and many gaps between TiO<sub>2</sub> layers and neighboring films, resulting in a higher  $\epsilon_r$  value due to interfacial polarization and a sharp reduction in  $\epsilon_r$  - f characteristics at low-frequency voltage ranges. For the SAM experimented in this study, the first layer of TiO<sub>2</sub> nanoparticles on PPMA film is formed by the different zeta potentials of the TiO<sub>2</sub> nanoparticles and the PMMA film. The next layer of TiO<sub>2</sub> recoating is expected to be due to the agglomeration of TiO<sub>2</sub> particles, as this production lacks zeta properties. Therefore, the surface characteristics of the recoated TiO<sub>2</sub> films have increased roughness due to the increased agglomeration of TiO<sub>2</sub> particles. The surface roughness of TiO<sub>2</sub> film on PMMA film was found to increase with increased soaking cycles in TiO<sub>2</sub> solution during the SAM TiO<sub>2</sub> deposition as shown by AFM in Figure 5 and Table 1,

**Table 1.** Capacitance per unit area ( $C_i$ ), dielectric constant, thickness, and root mean square (RMS) surface roughness of the ITO/PMMA/TiO<sub>2</sub>/PMMA/Al device in different soaking cycles of self-assembled TiO<sub>2</sub> deposition.

Soaking cycles of self-assembled TiO <sub>2</sub> deposition (times)	$C_i$ at 1 kHz (nF/cm <sup>2</sup> )	Dielectric constant at 1 kHz	Thickness (nm)	RMS surface roughness (nm)
0	16.5	1.78	137	0.4
2	21.3	2.74	164	6.8
4	40.3	7.13	225	20.0
6	66.8	15.7	299	89.3
8	53.8	13.2	311	112.8

respectively. With this  $\text{TiO}_2$  film layer fabrication, the additional  $\text{TiO}_2$  nanoparticles resulted in an increase of the grain gap within the  $\text{TiO}_2$  film as the amount of  $\text{TiO}_2$  nanoparticles increased and an increase of the boundary gap between the  $\text{TiO}_2$  film and the PMMA film as the agglomeration of the  $\text{TiO}_2$  nanoparticle increased. These resulted in an increase in interfacial polarization and a sharp reduction in the  $\epsilon_r - f$  characteristics at low-frequency voltage ranges as the soaking cycles of the SAM  $\text{TiO}_2$  increased as shown in figure 4c). The reduction of  $\epsilon_r - f$  characteristics at  $10^4 - 10^5$  Hz ranges in Figure 4C showed similar curves in all samples. The decrease in  $\epsilon_r$  of these frequency range are expected to be mainly due to the dipolar polarization property of PMMA. Furthermore, we found that the  $\epsilon_r$  value decreased when more and more SAM  $\text{TiO}_2$  nanoparticles were increased. The  $\epsilon_r$  of sample with 6 soaking cycles during the SAM  $\text{TiO}_2$  deposition was greater than sample with 8 soaking cycles as shown in figure 4c). This is a result of the higher SAM  $\text{TiO}_2$  film roughness resulted from more agglomeration, hence, resulting in an increased leakage current.

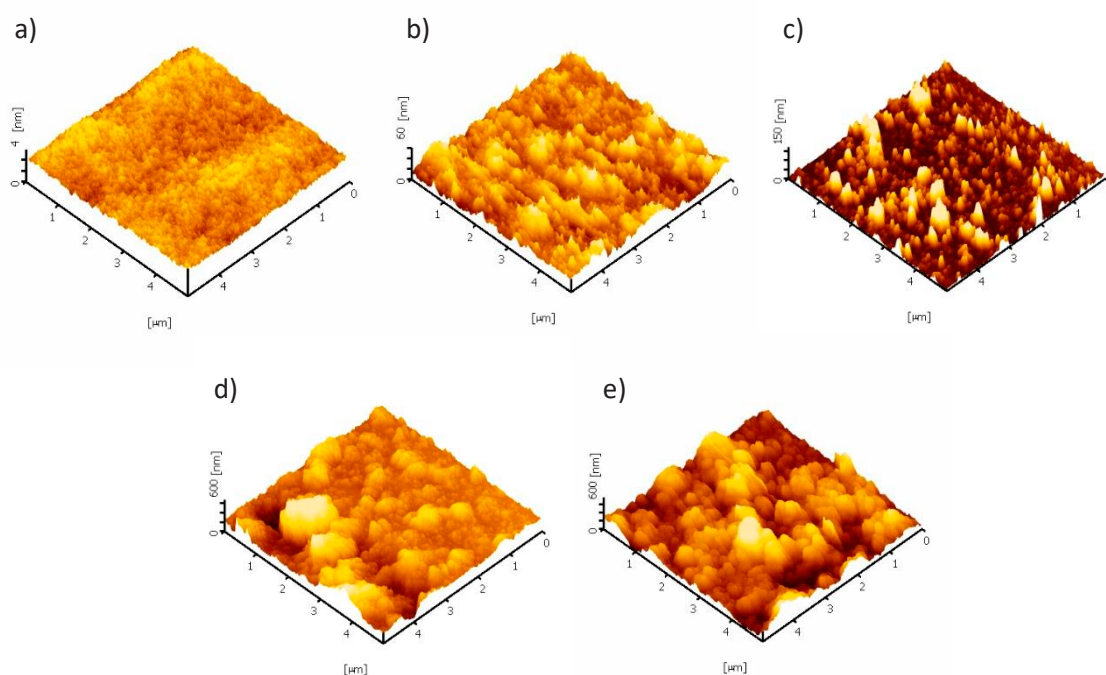
Table 2 compares the thickness, dielectric constant, and capacitance per unit area of polymer/ $\text{TiO}_2$  insulating layer from the best values obtained in this study and previously reported values by others. It was shown that the SAM  $\text{TiO}_2$  film was inserted between the PMMA film layers yielding the highest  $\epsilon_r$  value.

## CONCLUSION

In this study, we propose a novel approach to prepare self-assembled monolayered (SAM)  $\text{TiO}_2$  films between PMMA as an insulating triple-layered dielectric film in organic field effect transistors (OFETs). It was found that the SAM fabrication could increase the amount of  $\text{TiO}_2$  nanoparticles from varying the number of soaking cycles and incubation time. The dielectric constant increases with the number of soaking cycles during SAM  $\text{TiO}_2$  deposition until a maximum is reached. Then, a charge leakage may occur due to increased  $\text{TiO}_2$  agglomeration creating unacceptable roughness of the  $\text{TiO}_2$  film surface.

**Table 2.** Comparisons of the thickness, dielectric constant, and capacitance per unit area of polymer/ $\text{TiO}_2$  insulating layer material

Insulator layer	Thickness (nm)	Dielectric constant	Ci (nF/cm <sup>2</sup> )	Reference
PPV/ $\text{TiO}_2$	-	11.6	-	[5]
PPV/ $\text{TiO}_2$	634	-	10	[7]
PMMA/ $\text{TiO}_2$	-	-	11.1	[8]
PMMA/ $\text{TiO}_2$	299	15.7	66.8	This work



**Figure 5.** The AFM topographic images obtained from a) PMMA film (without  $\text{TiO}_2$ ) and PTP films prepared by b) 2 soaking cycles, c) 4 soaking cycles, d) 6 soaking cycles and e) 8 soaking cycles in  $\text{TiO}_2$  solution.

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